

# CONSOLIDATED PLAN AND STRATEGY

## YEAR 2001 ACTION PLAN



Submitted By:  
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Parish of East Baton Rouge  
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August 10, 2001

Mr. Gregory Hamilton  
U.S. Department of Housing and Urban Development  
Louisiana State Office  
Hale Boggs Building  
501 Magazine Street  
New Orleans, LA 70130

Dear Mr. Hamilton:

Enclosed are the submission documents for the City of Baton Rouge and East Baton Rouge Parish Consolidated Plan and Strategy-Year 2001 Action Plan, including the SF-424 Forms for the CDBG, HOME, ESG, and HOPWA programs as well as all applicable grant certifications and related information.

We would appreciate your review of our Year 2001 Action Plan at your earliest convenience. Should you have any questions or require any additional information, please contact me at (225) 389-3039.

Sincerely,

Al Gensler  
Urban Development Director

Enclosures

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# YEAR 2001 ACTION PLAN



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EAST BATON ROUGE  
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P.O. BOX 1471  
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## VII. YEAR 2001 ACTION PLAN

(§91.220) October 1, 2001 to September 30, 2002

### A. Resources (§91.220b)

#### 1. Federal Resources

##### a. HOME Investments Partnerships Program (HOME)

The HOME Investment Partnership Act is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act (NAHA), Public Law 101-625. HOME is a formula-based allocation program intended to support a wide variety of state and local affordable housing programs. The purpose of the program is to enable grantees to undertake activities and adopt policies aimed at expanding the supply of affordable housing and increasing the number of families who can be served with affordable housing.

The City-Parish will use the HOME funds that it expects to receive during the next year for funding assistance to be provided to individuals and to housing related organizations for the development of affordable housing.

##### b. Community Development Block Grant (CDBG)

Title I of the Housing and Community Development Act of 1974 (Public Law 93-383) created the Community Development Block Grant funding program. It is allocated primarily through the entitlement communities, and state and small cities programs. The City of Baton Rouge-Parish of East Baton Rouge is defined as an entitlement community. The primary objective of the CDBG program is to

develop viable urban communities, by providing decent housing and suitable living environment and expanding economic opportunities principally for persons of low and moderate income. All CDBG projects and activities must meet one of three national objectives: Principally benefit low-and- moderate income persons, aid in the prevention or elimination of slums or blight, or meet other urgent community needs.

The City-Parish will use the CDBG funds that it expects to receive for a program primarily of housing assistance and capital improvements to provide decent housing, eliminate slums and blight, and meet urgent community development needs.

##### c. Emergency Shelter Grants (ESG)

The ESG program is funded under the Stewart B. McKinney Homeless Assistance Act. Funding from the program is established on a formula basis equal to the percentages allocated to that of the CDBG program for states, metropolitan cities, and urban counties. The purpose of the ESG program is to provide assistance necessary to bring buildings into a suitable condition so they may be used as emergency shelters for homeless persons, to develop and implement homeless prevention activities, to provide certain essential services for homeless persons and to maintain and operate shelters.

The City-Parish will use the ESG funds that it expects to receive for renovation of shelter buildings (with a priority toward rehabilitation that expands capacity), provision of essential services (subject to a 30 percent limitation), shelter operations

or maintenance expenses (subject to a 10 percent limitation), payment of administrative expenses, and homeless prevention services (subject to a 30% limitation).

**d. Housing Opportunities for Persons with AIDS (HOPWA)**

The HOPWA program is authorized by the AIDS Housing Opportunity Act (42 U.S.C. 13901) as amended by the Housing and Community Development Act of 1992. The goal of the program is to devise long-term comprehensive strategies for meeting the housing needs of persons with acquired immunodeficiency syndrome (AIDS) and families of such persons. Additionally the *National AIDS Strategy* established national goals to end the epidemic of HIV and AIDS and to ensure that all people living with HIV have access to services, from health care to housing and supportive services, that are affordable, of high quality, and responsive to their needs.

The City-Parish will use HOPWA funds to support project sponsors activities including but not necessarily limited to: Short term rental/mortgage assistance, tenant or project based rental assistance, supportive services, and operating costs.

**e. Program Income**

All program income received from activities funded with CDBG or HOME funds will be used for additional activities of the same description. Income from housing loan repayments will be used for additional housing activities and income from clearance and demolition projects will be used for additional clearance and demolition. All program income is programmed for the funding year.

**2. Other Resources**

**a. HOME Match**

Housing needs identified in Table VI-1 (HUD Table 2A) in the CPS 2000-2004 which have been selected for funding will be funded in part through the provision of HOME match. The match required for the HOME program for the jurisdiction, based on the estimated uses of HOME funds, is \$274,375. The jurisdiction meets the fifty percent reduced match criteria described by HUD.

The primary sources of matching contributions for HOME funds will be the grant equivalent value of below-market rate loans on HOME projects where the private loan is not repayable to the participating jurisdiction (present discounted value of foregone yield), the value of reduced loan fees by private lenders for HOME-assisted projects, and the value of contributed property, materials, and labor toward affordable housing projects. Income from certain closed out federal programs can serve as a matching contribution. Residual and subsequent income from closed out programs such as the rental Rehabilitation Program may be reapplied toward HOME projects.

OCD supports grant applications by lenders on behalf of nonprofits under the affordable housing program of the Federal Home Loan Bank. It is estimated that no less than \$0.5 million in financing in two rounds in October and March may be granted for affordable housing projects in Baton Rouge. Decisions on the October round of applications will not be available until December.

Federal funds will be used to leverage additional resources in order to expand opportunities for homeownership for low and moderate income

households. In a collaborative approach to solving obstacles to home buying, the Office of Community Development and local lending institutions have forged leveraging agreements for home buyers. OCD will offer Homebuyer Counseling courses for low and moderate income persons. Upon satisfactory completion of a course, an income eligible person may apply to OCD for a deferred loan to use for a down payment and closing costs and to one of the participating lending institutions for a first mortgage for the balance of the required purchase amount. An average leveraging ratio of 4.5 to 1 is anticipated.

### **b. Emergency Shelter Grants Match**

Homeless needs identified in Tables VI-1 (HUD Table 2A), and VI-2 in the CPS 2000-2004 which have been selected for funding will be funded in part through the provision of ESG match. The jurisdiction will fulfill the ESG requirement of a matching contribution equal to the grant program funds. The City-Parish contracts with nonprofit organizations that provide shelter and services to homeless people. Each organization is required to provide matching contribution funds equal to the amount of funds that are awarded. This stipulation is included in each contract agreement. Matching funds provided by the nonprofit organizations usually exceed the amount required by the grants. The City-Parish will provide in-kind administrative expenditures, as necessary, for matching funds for the administrative funds received.

### **c. Other Private and Non-Federal Public Resources**

The following private and non-Federal public resources known to OCD, other than HOME and ESG matching funds, are reasonably expected to be available to address medium to high priority needs

identified in the plan for housing and in Tables VI-1 (HUD Table 2A) and VI-4 (HUD Table 2B) in the CPS 2000-2004. Some estimates are on a calendar year basis. It should be noted that sales tax funded projects are uncertain because of a general downturn in sales tax revenue, and that gaming revenues have also been sharply reduced.

#### *i. Housing Needs*

*Bulk Sale of Adjudicated Property* - The City-Parish anticipates a second round for the sale of tax adjudicated property at below estimated value. These properties are being combined in a bulk sale with a focus on return to productive uses. The bulk sale of the second round is dependent upon the first round going well and people building houses upon the properties. The City-Parish estimates that there will be \$50,000 in foregone taxes and below estimate sales.

*Fee Waivers* - In support of inner city redevelopment, the City-Parish waiver of building permit fees applies to all new construction in the Fee Waiver Area, not just those financed through the HOME program. While the City-Parish anticipates foregone fees, they cannot be readily estimated in advance of people applying for permits. The Fee Waiver Area includes the Homebuyer Target Area less the area being bought out by ExxonMobil.

*HOPE VI* - Contingent upon the Housing Authority receiving a HOPE VI grant, the City-Parish has committed an estimated \$160,000 over four years for a redevelopment fund seeded with revenues from the disposition of adjudicated property.

Contingent upon the Housing Authority receiving a HOPE VI grant, the Housing Authority may purchase tax adjudicated property at below

appraised value. It is anticipated that the Housing Authority can realize below-appraised value savings of at least \$60,000 during the HOPE VI development period.

*CD Home Loan project* - The OCD second mortgages on loan leverages about \$4-5 million/year in private first mortgage money from various home mortgage lenders.

*CDC Development Financing* - An estimated \$1 million in private money is estimated to be leveraged for development financing of new single-family construction by CDCs. Financing is through LISC and Lending institutions.

*Rental Housing Projects* - Over \$2 million in private money is estimated to be leveraged for market rate financing of construction or rehabilitation of affordable rental housing in connection with City-Parish assisted housing financing.

*Low Income Housing Tax Credits* - Approximately \$5 million/year in private equity investment is estimated to be leveraged by Low Income Housing Tax Credit projects.

## **ii. Public Facility Needs**

*Neighborhood Facilities* - Non-recurring City-Parish Gaming Revenue of \$300,000 is budgeted for a Head Start Building in South Baton Rouge.

*Health Facilities* - The state of Louisiana anticipates spending approximately \$45 million to \$100 million for a replacement for state owned Earl K. Long Medical Center which will serve the capital region.

Our Lady of the Lake Regional Medical Center anticipates spending at least \$40,000 in

renovation costs to supplement an EDI grant for a clinic in Scotlandville in north Baton Rouge.

*Demolition & Clearance and Asbestos Removal* - Contingent upon the Housing Authority receiving a HOPE VI grant, the City-Parish anticipates spending \$200,000 over four years in "Operation Takedown" to demolish vacant and dilapidated structures in coordination with HOPE VI.

The City-Parish anticipates spending \$287,000 in General Fund money for demolition and clearance of condemned, blighted property.

*HOPE VI* - Contingent upon the Housing Authority receiving a HOPE VI grant, the City-Parish will commit \$1,600,000 over four years in gaming revenue for public facilities and related HOPE VI supportive improvements.

## **iii. Infrastructure**

*Water/Sewer Improvements* - \$618 million in Sewer User Fees has been proposed by the Mayor-President to upgrade the sewer collection system. This money cannot be reasonably anticipated until after the tax election and approval by the EPA.

*Street Improvements* - The City-Parish has a dedicated 0.5 cent sales tax which generates about \$12 million/year for street rehabilitation. If a renewal is approved by the voters, then it is expected to generate \$98,250,000 over the next five and one-half years for new road construction.

*Sewer Improvements (connections)* - All sewer tie-in connections are paid for by property owners.

*Flood Drain Improvements* - Contingent upon federal funding for the construction of the Comite

River Diversion, the City-Parish will fund operations and maintenance costs.

*Bike Path Development* - The City-Parish anticipates spending \$115,000 which is 50% of the matching funds for planning the Levee Top Improvements (Bike Path), and in addition will fund right-of-way acquisition, and 5% of the construction costs. The City-Parish also anticipates paying \$75,000 in which will cover 50% of the planning, and 5% toward construction for the rehabilitation of the University Lakes bike path.

*Handicap Access Improvements* - The City-Parish General Fund provides \$53,061.50 to Capital Transportation Corporation as a 20% match for non-CD Federal grant La-90-XO79 for a total of \$265,307.50 for curb cuts.

*Bus Shelters* - Lamar Advertising is providing bus shelters to the Capital Transportation Corporation which are incorporated into construction of handicap accessible bus shelters.

*HOPE VI* - Contingent upon the Housing Authority receiving a HOPE VI grant, the City-Parish will commit about \$250,000 over four years for infrastructure support including sidewalk improvements, curbing and curb cuts for handicap accessibility, street resurfacing, traffic controls and crosswalks, street lighting, and urban forestry.

#### *iv. Public Service Needs*

*Housing Services* - The Mid-City Redevelopment Alliance Homeownership Center anticipates \$91,000 in private donations including from various foundations and other private contributors.

*Handicap Services* - The CTC is providing

\$225,000 for demand-response curb to curb lift service for the handicapped.

*Transportation Services* - Louisiana State University funds the CTC \$1,778,980.50 for bus service in areas where students are concentrated. This funding is used as match for other funding, and makes bus service available for the general public in areas where it would not otherwise be available.

The CTC is providing \$245,000 in General Funds and self generated funds for the Congestion Mitigation Air Quality project for new and expanded bus service.

*Substance Abuse Services* - Cenikor Foundation, a private non-profit organization, is opening a 250 person long-term residential treatment center for drug addicts. This is being financed with \$3.5 million in bonds from the Louisiana Public Facilities Authority. An additional \$1 million in operating costs and material donations are anticipated during the first year from various private sources.

The Baton Rouge Area Alcohol & Drug Center anticipates receiving \$170,220 for substance abuse services from the City-Parish General Fund, United Way, and private donations.

*Health Services* - Based on past performance in soliciting donations, donations of \$500,000 in prescription and \$100,000 in over-the-counter drugs from various private sources are anticipated for the St. Vincent de Paul Pharmacy.

The YWCA raises private funds to support about half of \$205,000 per year for the ENCOREplus breast and cervical cancer screening program. Private supporters of ENCOREplus include Avon Cosmetics, Blue Cross, Syngenta Chemical

(formerly Novartis), Susan G. Coleman Race for the Cure, Baton Rouge Area Foundation, and United Way.

Elli Lilly also provides the YWCA with \$8,000 per year for support of the Women's Health Coalition.

*Other Public Service Needs* - Volunteer Baton Rouge anticipates raising \$188,000 in private donations for support of the Non-Profit Resource Center.

#### **v. *Anti-Crime Programs***

*Crime Prevention Programs* - The City-Parish anticipates spending in General Funds on Crime Stoppers \$14,100, the Police Department Community Policing \$187,090, and CAN DO \$121,460. It also anticipates spending \$290,460 in General Funds on Operation Takedown to demolish drug dens some which is discussed under Demolitions and Clearance.

*Domestic Violence Prevention* - The City-Parish anticipates spending \$173,011 in General Funds on the Stop Rape Crisis Center.

The Capital Area Family Violence Intervention Center anticipates spending \$194,790 in domestic violence prevention from the City-Parish General Fund, United Way, state grants, and private donations.

*Anti-Drug Programs* - The City Court Volunteers in the Court anticipate receiving \$60,000 from the Capital Area Human Services District, and \$25,930 from the City-Parish General Fund for a program to reduce recidivism for alcohol and drug abuse.

The Alcohol and Drug Abuse Council of Greater Baton Rouge anticipates receiving \$17,920 from the City-Parish General Fund, United Way, state grants, and private donations.

The Anti-Drug Task Force anticipates receiving \$157,560 from the City-Parish General Fund.

The City-Parish anticipates spending \$307,922 in General Funds on the School Drug Task Force.

The Louisiana Commission on Law Enforcement anticipates spending \$84,530 for the Strategic Narcotics Area Patrols.

The Alcohol and Beverage Control Board anticipates spending \$868,180 from the City-Parish General Fund on substance abuse programs including the Juvenile Underage Drinking Enforcement program.

#### **vi. *Youth Programs***

*Child Abuse Prevention* - The City Parish anticipates spending General Funds for the Community Action for Children \$43,430, Capital Area United Way - Child Abuse Prevention \$20,000, and Families in Need of Service program \$309,101, and the District Attorney's Sex Crimes & Child Abuse Unit \$103,001.

The Families in Need of Services program also anticipates receiving \$59,020 in grants from the Louisiana Supreme Court, and the Louisiana Department of Social Services.

*Youth Services* - The Big Buddy Program anticipates \$61,270 in funds from the City-Parish General Fund, United Way, and private donations.

Volunteer Baton Rouge anticipates raising \$92,000 in private donations for support of the VolunTeens teen volunteer program.

The Baton Rouge Housing Authority anticipates receiving \$43,500 from the state Department of Education for continuation of its Community-based Tutorial Program which provides after school tutoring at three sites.

### **vii. Economic Development**

*Micro-Enterprise Assistance* - Southern University is providing \$49,240 in matching funds for the Small Business Incubator. Liberty Bank is providing \$100,000 in private relending funds.

*Job Creation and Commercial Property Improvements* - The City-Parish participates in the State of Louisiana Enterprise Zone (EZ) program providing tax incentives for the creation or expansion of businesses, industry that generates new job opportunities, and in the Restoration Tax Abatement (RTA) program providing tax benefits for the improvement of commercial property in designated historic, downtown, and economic development districts. Investment value and the value of foregone taxes can not be reliably predicted ver the year, but are estimated at no less than \$5 million and over 0.5 million respectively.

The CTC is providing \$750,000 in General Fund and self-generated local money for the Job Access Reverse Commute project designed to link employment centers with residential areas needing public transportation to access those jobs.

### **viii. Planning**

*Other Planning* - The City-Parish is providing

\$33,000 in General Funds for a grant writer for the Mayor's Grant Taskforce which is charged with developing additional grant resources for public benefit activities.

## **B. Activities to be Undertaken (\$91.220c)**

### **1. General Administration, Management, and Planning**

The General Administration, Management, and Planning project provides for the overall planning and management of the grants served by the OCD, and related community redevelopment efforts and technical assistance. This project meets the Planning high priority need identified in Table VI-4 (HUD Table 2B), the Administrative Expenses medium priority need in Table VI-3, and objective H-13 in Table VI-5 (HUD Table 2C).

The funding for the project is **\$730,000** from CDBG with anticipated **\$8,000** in CDBG program income, **\$10,200** from ESG, **\$242,500** HOME with anticipated **\$15,000** in HOME program income, and **\$22,170** HOPWA funds. Maximum funding is allocated as a percent of the grants administered.

### **2. Indirect Costs**

The Indirect Costs project provides for indirect costs of programs operated by the Office of Community Development, assessed by the City-Parish under a HUD-approved indirect cost allocation. This project meets the Planning high priority need identified in Table VI-4 (HUD Table 2B).

The funding for the project is **\$264,000** CDBG funds.

### 3. Rehabilitation Program Operational Costs

The Rehabilitation Program Operational Costs project provides for rehabilitation staff and overhead for housing rehabilitation program operations including site monitoring, project write-ups, project reviews, pre-construction inspections, rental housing and other housing inspections, and applications for loans and grants and under writing for both owner and rental projects. This project meets objectives H-6, H-7, and H-8 in Table VI-5 (HUD Table 2C) and is necessary for all other housing related projects.

The funding for the project is **\$950,000** CDBG funds. The project is eligible under 570.208(a)(3).

### 4. Program Contingencies

Program Contingencies is not a project per se, but unprogrammed funds for cost overruns of scheduled projects. **\$150,000** is allocated for contingencies.

### 5. Demolition and Clearance

Demolition and clearance activities to eradicate dilapidated structures, not feasible for repair and that are located in the low income areas of the jurisdiction, have been conducted by the City-Parish Operation Takedown since June, 1993. The program is coordinated between the City-Parish Office of Community Development and the City-Parish Department of Public Works Neighborhood Improvements Office. The OCD and Neighborhood Improvement split between them demolition of large and small structures using General Funds of the City-Parish as well as CDBG.

In addition to canvasses and property inspections by the City-Parish, the program operates so that individual residents and neighborhood organizations can refer properties for evaluation that are considered neighborhood problems. All properties are rated according to the level of deterioration and dilapidation, with emphasis placed on those in the most unsafe and unsound condition, or those undertaken in support of the Housing Authority's HOPE VI grant application should it be selected for funding by HUD. This project meets the Demolition and Clearance high priority need, and the Asbestos Removal low priority need (incidental to demolition) identified in Table VI-4 (HUD Table 2B).

The City-Parish will demolish the equivalent of 20 vacant and unrepairable units and clear the lots so that they will be suitable for improvements by September, 2002.

CDBG funding of **\$48,000** is identified for the project. Program income of **\$2,000** is anticipated. Additional City-Parish resources are anticipated. The eligibility for the project is under 570.201(e).

### 6. Neighborhood-based Capital Improvements

The Neighborhood-based Capital Improvements project is an on-going multi-year project for the design and reconstruction or construction of neighborhood infrastructure such as streets, sidewalks, and removal of architectural barriers to the handicapped.

Activities are undertaken as funding allows on a priority basis. Activities are selected from an annual priority list or selected as necessary support for other projects which are planned during the current year. Activities may include improvements in relation to

developments sponsored by Community Housing Development Organizations or the Housing Authority's HOPE VI grant should it be selected for funding by HUD. Activities for which funding is insufficient during the current year's Action Plan are moved up for consideration in later year's Action Plans. **\$200,000** will be set aside for HOPE VI activities. Activities will be funded using 80% for the CDBG Target Area and 20% for other low income areas. This project meets the Water/Sewer Improvements, Street Improvements, Sidewalk Improvements, and Handicap Access Improvements high priority needs, the Sewer Improvements, and Flood Drain Improvements (incidental to street and sidewalk activities) medium priority needs, and the Bus Shelters low priority need (incidental to handicap access) identified in Table VI-4 (HUD Table 2B).

CDBG funding of **\$900,000** will be used for the Neighborhood-based Capital Improvements project. The project is eligible under 570.208(a)(1).

## 7. Fair Housing

The Office of Community Development (OCD) is the designated Fair Housing Agency for the City-Parish and will conduct Fair Housing activities for the jurisdiction to ensure compliance with federal, state, and local Fair Housing laws. Fair Housing activities will include information services, advertising, promotion, and affirmative marketing education in homebuyer education classes. This project meets the Planning high priority need identified in Table VI-4 (HUD Table 2B) and the Fair Housing information medium priority need identified in Table VI-3.

CDBG funds of **\$10,000** are budgeted for Fair Housing activities. Fair Housing activities are conducted under 570.206.

## 8. Homeownership Services

The Homeownership Services activity will provide housing counseling and homeowner education programs for 300 low and moderate income home buyers and former homebuyers. The project will include outcome analysis of housing programs. This project meets the Housing high priority needs for 0-50% MFI households and large renter households for 0-80% MFI households identified in Table VI-1 (HUD Table 2A) by qualifying low income households to buy homes, and by seeking to prevent defaults. Efforts are concentrated in serving Low and Very Low Income households. This project also meets objective H-12 in Table VI-5 (HUD Table 2C).

CDBG funds of **\$35,000** will be used for the Homeownership Services project as well as HOME Administration funds. Eligibility is under 570.201(n).

## 9. Home Maintenance Improvements Program (HMIP)

The HMIP provides grant assistance to very low-income homeowners. The OCD has its own carpentry crews perform the HMIP work, except for plumbing and electrical work which is subcontracted. In addition to full rehabilitation of substandard housing, the program provides adaptive retrofitting for disabled or elderly occupants and limited repairs to address particularly hazardous conditions. The program will assist 35 households by September, 2002. This project meets the Housing high priority needs for 0-50% MFI households identified in Table VI-1 (HUD Table 2A) and for physically disabled persons in need of handicap adaptations. This project also meets objective H-9 in Table VI-5 (HUD Table 2C).

**\$693,000** of CDBG funds are identified for the program. Eligibility is under 570.202.

### **10. Small Housing Repair Grants**

HOME funds make possible the Small Housing Repair Grant program. It is targeted to 0 to 50% MFI owner households to be used for repairs that would cost from \$1,000 to \$5,000 to bring the house up to HUD Housing Quality Standards. The program focuses on properties that do not require extensive repairs. Adaptions for handicapped accessibility will be included when appropriate to the needs of the owner or occupants. The program will assist 30 households by September, 2002. This project meets the Housing high priority needs for 0-50% MFI households identified in Table VI-1 (HUD Table 2A) and for physically disabled persons in need of handicap adaptations. This project also helps meet objective H-3 and H-5 listed in Table VI-5 (HUD Table 2C).

The program is funded with **\$400,000** of CDBG funds. It is eligible under 570.202.

### **11. Housing Rehabilitation Grants**

The existing Community Development Block Grant Program project of Housing Rehabilitation which provides rehabilitation grants targeted to very low-income homeowners for bringing properties into compliance with local housing codes and HUD requirements will be continued. HUD Housing Quality Standards, adaptations for handicapped, and energy conservation improvements will remain part of the grant program. Lead hazard management has been expanded in accordance with new federal regulations implemented in September 2000. Grants will be limited to a maximum of \$23,000 per house. The project will provide rehabilitation to 50 houses

by September, 2002. This project meets the Housing high priority needs for 0-50% MFI households identified in Table VI-1 (HUD Table 2A) and for physically disabled persons in need of handicap adaptations. This project also meets objective H-9 listed in Table VI-5 (HUD Table 2C).

The source of funding for the program is CDBG, **\$900,000** and HOME **\$226,300**. It is eligible under 570.202.

### **12. Volunteer Repair Program**

The Volunteer Repair Program will use CDBG funds to provide materials and supplies for minor repairs to homes owned and occupied by very low income persons when the repairs are undertaken through community or neighborhood volunteerism. This project will assist 25 households by September, 2002. This project meets the Housing high priority needs for 0-50% MFI households identified in Table VI-1 (HUD Table 2A). This project meets objective H-4 listed in Table VI-5 (HUD Table 2C).

The funding source is CDBG, **\$20,000**. The program is eligible under 570.202.

### **13. Housing Loan Program**

The Housing Loan Program will provide low interest loans to homebuyers for acquisition or rehabilitation. Loans through this program will also be available to for-profit and nonprofit organizations for rental rehabilitation, development of transitional housing, and other activities necessary for the expansion of affordable housing opportunities. **\$150,000** in loan funds will be set aside to give first priority to properties in the HOPE VI area. The program will be completed by September, 2002. The program will enable 90 households to obtain

affordable housing and 30 rental units to be rehabilitated. This project meets the Housing high priority needs for 0-50% MFI households identified in Table VI-1 (HUD Table 2A). This project also meets objective H-2 listed in Table VI-5 (HUD Table 2C).

Funding will include **\$200,000** CDBG and anticipated **\$465,000** program income, **\$1,469,950** HOME funds, and anticipated program income of **\$135,000**. Eligibility is under 570.201(n).

#### **14. Community Housing Development Organization Operating Assistance**

Community Housing Development Organizations (CHDOs) are private nonprofit organizations that meet certain qualifications defined in the HOME Federal Regulations. Organizations may submit Requests for Qualifications packets to the Office of Community Development for a determination of eligibility. Qualified CHDOs may apply for small grants, not to exceed \$20,000, through a competitive application process. Grants may be used to support some day-to-day operating expenses such as salaries, training, utilities, office space, etc. This project meets the Housing high priority needs identified in Table VI-4 (HUD Table 2B) by increasing the capacity of CHDOs to develop housing.

HOME funds of **\$122,500** are expected to be available for operating assistance for CHDOs.

#### **15. Community Housing Development Organization Activities**

Qualified Community Housing Development Organizations (CHDOs) may receive HOME funding as developers, owners, or sponsors of assisted

housing for eligible projects. The CHDO Activities will benefit 30 households. Priority will be given to homebuyer activities. The activities will be completed by September, 2005. This project meets the Housing high priority needs for 0-50% MFI households and Housing high priority needs for 0-80% MFI large renter households identified in Table VI-1 (HUD Table 2A), and objective H-11 in Table VI-5 (HUD Table 2C).

HOME funds of **\$363,750** are expected to be available for CHDO projects.

#### **16. Emergency Shelter Essential Services**

The Emergency Shelter Essential Services activity provides essential services such as those relating to employment assistance, transportation, drug abuse and life skills counseling for persons who are homeless. Funds for Emergency Shelter projects are awarded to nonprofit shelter providers through a competitive funding process. The program will assist 968 persons and will be completed by September, 2003. This project meets the Homeless high priority needs for Emergency Shelter services identified in Table VI-1 (HUD Table 2A), and for the high priority needs for Case Management, Substance Abuse Treatment, Life Skills Training, and the medium priority needs for Job Training or related employment assistance listed in Table VI-2, and the medium priority need for Transportation Services (to job interviews and other services) identified in Table VI-4 (HUD Table 2B).

ESG funds of **\$37,500.00** are budgeted for ESG Essential Services.

The following projects were selected for the Program Year 2001:

a) Catholic Community Services: Joseph Homes - **\$10,000** to pay part of the salary for a counselor at 128 & 130 S. 11<sup>th</sup> St.

b) Louisiana Industries for the Disabled, Inc.: Women's Community Rehabilitation Center (LIFTD) - **\$13,000** to pay part of a salary for a Licensed Professional Counselor for the shelter at 855 St. Ferdinand St.

c) Myriam's House - **\$3,000** to provide for assistance with transportation costs (fuel and maintenance of vehicles) used to transport residents to access essential services to include: obtaining permanent housing; federal, state, and local assistance with identification or driver's license; social security, food stamps and probation and parole fees, medical assistance, job training and transportation to jobs and schools for residents of the shelter located at 1141 West Chimes Street.

d) O'Brien House - **\$10,000** to pay part of the salary for 1 board certified counselor for the shelter facility located at 1220 Main St.

e) St. Vincent de Paul: Bishop Ott Day/Night Shelter - **\$1,500** to pay for transportation (bus tokens & fuel for transportation) for guests of the facility.

## 17. Emergency Shelter Operations

The Emergency Shelter Operations category provides operational assistance including, but not limited to, payment of insurance, utilities, food, furnishings, rent, and repairs/maintenance to shelters for the homeless. Funds for Emergency Shelter projects are awarded to nonprofit shelter providers through a competitive funding process. The program will assist 4,159 persons and will be completed by September, 2003. This project meets the Homeless

high priority need for Emergency Shelter services identified in Table VI-1 (HUD Table 2A), and the high priority need for Child Abuse Prevention, and the medium priority need for Domestic Violence Prevention listed in Table VI-4 (HUD Table 2B).

ESG funds of **\$130,564** are budgeted for Emergency Shelter Operations.

The following projects were selected for the Program Year 2001:

a) A New Inspiration, Inc. - **\$10,784** to assist with payment of utilities, food, supplies, and property insurance at the shelter at 1272 Laurel Street.

b) Capital Area Family Violence Intervention Center, Inc.: Zonta House Battered Women's Program - **\$12,000** to assist with payment of utilities and food costs at the shelter. Location: confidential.

c) Catholic Community Services: Joseph Homes - **\$2,000** to assist with payment of utilities, insurance, and maintenance at the Joseph Homes located at 128 & 130 S. 11<sup>th</sup> St.

d) Catholic Community Services: Families First - **\$6,000** to assist with payment of utilities at the shelter located at N. 18<sup>th</sup> and Laurel Streets.

e) Elect Lady - **\$5,000** to assist with payment of rent at the shelter located at 3515 Maribel Drive.

f) Louisiana Industries for the Disabled, Inc.: Women's Community Rehabilitation Center (LIFTD) - **\$7,000** to assist with payment of utilities, and insurance for the WCRC shelter located at 855 St. Ferdinand St.

g) Maison des Ami of La. - **\$4,000** to assist with

payment of utilities, food, and insurance for the shelter at 1050 Convention St.

h) Myriam's House - **\$9,000** to assist with payment of utilities, maintenance and property insurance at Myriam's House located at 1141 West Chimes St.

i) O'Brien House - **\$1,000** to assist with payment of utilities, food costs and insurance at the shelter at 1220 Main St.

j) Our Lady of the Lake: St Anthony House - **\$14,000** to assist with payment of utilities and food. Location: Confidential.

k) Society of St. Vincent de Paul: Bishop Ott Night Shelter - **\$5,000** to assist with payment of utilities, furnishings and insurance at the shelter located at 2550 Plank Rd.

l) Society of St. Vincent de Paul: Bishop Ott Day/Night Shelter - **\$14,000** to assist with payment of utilities, supplies, insurance, equipment, and maintenance at the shelter located at 1635 Convention St.

m) Society of St. Vincent de Paul: Bishop Ott Women and Children Shelter - **\$20,780** to assist with payment of utilities, furnishings; and for payment of salary for the night manager.

n) Volunteers of American: Drop-In Center - **\$10,000** to assist with payment of utilities, food, furnishings and general maintenance at the Drop-in Center at 2600 Florida Blvd.

o) Volunteers of America: Parker House - **\$5,000** to assist with payment of rent, utilities and food at the shelter at 586 Caddo St.

p) Volunteers of America: Shiloh Ministries - **\$5,000** to assist with payment of rent, utilities, and food at the shelter at 935 Eddie Robinson Drive.

## 18. Emergency Shelter Homeless Prevention

The Emergency Shelter Homeless Prevention activity provides assistance for payment of short term subsidies to defray rent and utilities costs, security deposits for first month's rent to individuals and families who are homeless and who are threatened with homelessness. Funds for Emergency Shelter Homeless Prevention projects are awarded to nonprofit shelter providers through a competitive funding process. The program will assist 188 persons and will be completed by September, 2003. This project meets the Homeless high priority needs for Emergency Shelters identified in Table VI-1 (HUD Table 2A), and the medium priority Transitional Housing, Permanent Housing, and Housing Placement needs listed in Table VI-2.

ESG funds of **\$19,500** are budgeted for Emergency Shelter Homeless Prevention.

The following projects were selected for the Program Year 2001:

a) Capital Area Family Violence Intervention Center, Inc.: Zonta House Battered Women's Program - **\$3,000** to assist with payment of the first's month's rent and utility assistance for residents transitioning from the Zonta House.

b) Myriam's House - **\$1,500** to assist with payment of rent and utility assistance for residents in transition from the shelter.

c) Society of St. Vincent de Paul: Bishop Ott Day/Night Shelter - **\$2,500** to assist with payment of

first month's rent and security deposit for residents in transition from the shelter.

d) Volunteers of America: Family Emergency Shelter - **\$10,000** to assist with payment of first month's rent and security deposit for residents in transition from America House.

e) Volunteers of America: Drop-in Center - **\$2,500** to assist with payment of the rent and security deposits costs for homeless persons transitioning to transitional or permanent housing.

### 19. Emergency Shelter Renovations

The Emergency Shelter Renovations activity provides rehabilitation assistance for shelters for the homeless. Funds for Emergency Shelter Renovations projects are awarded to nonprofit shelter providers through a competitive funding process. The program will assist 100 persons and will be completed by September, 2003. This project meets the Homeless high priority need for Emergency Shelters identified in Table VI-1 (HUD Table 2A).

ESG funds of **\$6,236.00** are budgeted for Emergency Shelter Renovations.

The following project was selected for the Program Year 2001:

a) Maison Des Ami of LA - **\$6,236** to assist with payment for renovations to include the installation of a Walk-in Cooler/Freezer at the shelter located at 1050 Convention Street.

### 20. HOPWA Grants

The HOPWA Grants activity provides housing assistance to persons with HIV or AIDS and their

families through nonprofit service providers. Funds will be awarded through a competitive funding process. Requests for Proposals will solicit activities to be undertaken and submittals will be rated for ability to provide services. Funds may be awarded in the following categories depending upon the selection of proposals:

- Project Sponsor Administration
- Short Term Rent/Utility Payments
- Project or Tenant Based Rental Assistance
- Supportive Services
- Housing Information Services
- Operating Cost for Housing
- Acquisition, Rehabilitation, Repair of Facilities
- New Construction of Single Room Occupancy (SRO) Housing
- Technical Assistance in Establishing Community Residence

This project meets the high priority needs for persons who are HIV+/AIDS for Project or Tenant Based Rental Assistance, Short Term Rent Mortgage and Utility Payments to Prevent Homelessness; and Supportive Services, and medium priority needs for Housing Information Services, and Administrative Services listed in Table VI-3, and objective H10 in Table VI-5 (HUD Table 2C).

HOPWA funds of **\$716,830** will be awarded.

The following project was selected for the Program Year 2001:

a) Friends for Life - **\$212,853** to provide short term mortgage/rent/utility, supportive services and administrative assistance.

b) HIV/AIDS Alliance for Region Two (HAART) -

**\$363,840** to provide short term mortgage/rent/utility, supportive services and administrative assistance.

c) Our Lady of the Lake: St. Anthony's Home - **\$140,137** to provide for operations, supportive services and administrative assistance at a residential facility.

## **21. Property Improvement Program**

The Property Improvement Program provides for the repair, expansion, relocation, or improvement of nonprofit owned property used to provide public service activities. Assistance is in the form of a deferred, forgivable loan with the condition that the property be used to provide public services for a defined term. Requests for Proposals will solicit activities to be undertaken and submittals will be rated for ability to improve services provided. This project meets the priority needs for public services, anti-crime programs, youth and senior programs services identified in Table VI-4 (HUD Table 2B) by increasing the capacity of nonprofits to deliver services.

The Property Improvement Program will be funded with **\$200,000** in CDBG funds. The activity is eligible under 570.208(a)(3).

## **22. Public Service Grants**

The Public Service Grants project provides small grants, not to exceed \$17,000, made to nonprofits to assist in the undertaking of pilot demonstration programs, expansion of successful programs, and continuation of existing projects subject to revenue reduction for reasons other than non-performance which are focused on activities in support of welfare reform. Programs are to be for services to lower income persons in the areas of

employment and job readiness, child care, health services, drug abuse prevention, crime prevention, and similar activities. This project meets the priority needs for Public Services, Anti-Crime Programs, and Youth Programs services identified in Table VI-4 (HUD Table 2B).

The Public Service Grants projects will be funded with **\$300,000** in CDBG funds allowing for an estimated 21 to 25 grants depending on actual proposals received. Eligibility is under 570.208(a)(2).

## **23. Family Loan Program**

The Family Loan Program provides small low interest loans through the Family Services of Greater Baton Rouge to qualified low income families to help alleviate barriers to employment and employment stability. Contracts for the program are for a two year period. This project meets the high priority need for Public Services such as Job Retention Services identified in Table VI-4 (HUD Table 2B).

CDBG funds of **\$55,000** will be provided for the Family Loan Program. The activity is eligible under 570.208(a)(2).

## **24. St. Vincent de Paul Pharmacy**

The St. Vincent de Paul Pharmacy project will support the existing program operations of providing medical prescription services to the poor, homeless, elderly, and disabled. Through the support of volunteers and donations from the medical community the St. Vincent de Paul Pharmacy plans to distribute \$500,000 worth of prescription medications and \$100,000 worth of over-the-counter medications. This project meets the medium priority need for Public Services such as Health Services identified in Table VI-4 (HUD Table 2B).

The St. Vincent de Paul Pharmacy project will be funded with **\$50,000** in CDBG funds. Eligibility is under 50.208(a)(2).

## 25. VolunTeens

Volunteer Baton Rouge! is a nonprofit agency dedicated to meeting community needs through promotion and coordination of volunteerism in the jurisdiction. The agency sponsors VolunTeens, a year-round program for teens affiliated with the Youth Volunteer Corps of America. They provide volunteer hours to soup kitchens, food pantries, nursing homes and day camps for children that live in low to moderate income neighborhoods or that have special needs. VolunTeens will provide service to low and moderate income persons during the contract period of January 1, 2002 to December 31, 2002. This project meets the priority needs for Youth Programs such as Youth Services identified in Table VI-4 (HUD Table 2B).

The VolunTeens activity will be funded with **\$33,000** in CDBG funds. Eligibility is under 570.201(e).

## 26. Nonprofit Training Program

The Nonprofit Training Center provides technical assistance and training for nonprofit organizations that primarily serve lower income persons and is intended to increase the effectiveness and efficiency of participating organizations so that they may expand their capacity to deliver services. The Nonprofit Training Center is run by Volunteer Baton Rouge, Inc. through its Nonprofit Resource Center as the Opt for Excellence activity. This project meets the priority needs for Public Services identified in Table VI-4 (HUD Table 2B) by increasing the capacity of nonprofits to deliver

services.

CDBG funds of **\$12,000** will be provided for the Nonprofit Training Program. The activity is eligible under 570.201(p).

## 27. Temporary Relocation

Temporary relocation of persons being displaced while lead-based paint hazards are addressed in their dwelling. This project meets the Housing high priority needs identified in Table VI-1 (HUD Table 2A) by allowing for relocation during other projects involving rehabilitation of lead contaminated housing.

The Temporary Relocation activity will be funded **\$25,000** in CDBG money. The activity is eligible under 570.201(i).

## C. Geographic Distribution (§91.220d)

The City-Parish bases the distribution of investment geographically on several factors such as jurisdictional boundaries, habitability, HUD programmatic requirements, and need. Geographic distribution of resources is subject to reevaluation based on new census data.

The City-Parish is unusual because it encompasses a very large area, 459 sq miles, that extends from designated industrial zones, inner and midcity, through suburbs and rural areas, and out to uninhabited areas. The Housing Opportunities for Persons With AIDS (HOPWA) program is the only program that reaches beyond the boundaries of East Baton Rouge Parish. It will serve East Baton Rouge, West Baton Rouge, Livingston, and Ascension Parishes as required by HUD HOPWA regulations.

Baton Rouge is also the capital of Louisiana and has many state facilities for which the City-Parish has limited jurisdiction. The City-Parish is not responsible for infrastructure or services within major state facilities such as the Capitol Complex, Louisiana State University, Southern University, Baton Rouge Community College, Pennington Biomedical Research Center, and Jetson Correctional Center for Youth. These areas and their populations of college students and prisoners are generally not part of the geographic areas eligible for most CDBG, ESG, or HOME projects.

There are two small cities, Baker and Zachary, within the parish which existed prior to the consolidation of the City-Parish and which are jurisdictionally separate for some programs. These incorporated areas are eligible to participate under the State Small Cities Program of the Community Development Block Grant, and through the Louisiana Housing Finance Agency for HOME resources. Funding resources for the Weatherization Assistance Program are provided for the entire Parish including Baker and Zachary.

The City-Parish does not allocate any resources to three geographic areas. The first is census tract 30.99 blockgroup 1, Ships in Port, which has no spatial extent. The second is census tract 30.01 block group 2 which is the uninhabited and uninhabitable Devil's Swamp Landfill and Super Fund site on Thomas Point. The third area is the industrial zones designated in the City-Parish Plan of Government. Designated industrial zone boundaries do not coincide with census tract boundaries.

HUD requires that investment be directed towards benefitting low to moderate income areas, eliminating slums and blight, and meeting urgent needs. Projects for which area benefit is claimed are

those areas defined as being low to moderate income based on HUD criteria. Low income households are concentrated in the inner and midcity, and scattered throughout the suburban and rural areas. Typically older developed urban areas tend to have concentrations of low income households and infrastructure and facilities developed prior to modern standards. Infrastructure is often deteriorated or obsolete in these areas. Newer suburban areas tend to have middle income families and, where infrastructure and facilities have been built, they are generally up to modern standards. Suburban deficiencies are generally caused by housing development outstripping infrastructure and facility development. Overall there has been a trend of expansion of low income areas into suburbs, and middle income household movement from the inner and midcity to growing suburbs. In response to HUD's requirements and other factors, the City-Parish concentrates investment in inhabited, non-industrial zone census tracts and blockgroups which are predominately residential and defined as meeting HUD's criteria for low to moderate income areas. Except for Valley Park, these census tracts are located in a contiguous area of the inner and midcity on the western side of the parish. HUD designated low to moderate income blockgroups are also scattered throughout the suburban and rural areas. The balance of program activities and resources are made available Parish-wide subject to program eligibility, except for the incorporated areas of the Cities of Baker and Zachary.

Housing Rehabilitation Grant assistance under the CDBG Program is targeted to four priority groups of census tracts called Community Development Planning Districts, because they contain the greatest concentrations of very low income owner-occupied and substandard housing. The Community Development Planning Districts were intended to

ensure that residents of each district receive an opportunity to apply for a fair share of limited resources. 20% of the Rehabilitation Grant project is allocated to each district, and the remaining 20% is made available outside of these planning districts for the balance of the parish for very low income homeowners of substandard property.

The Homebuyer Target Area has enhanced incentives to lower income homebuyers for purchase, rehabilitation, and construction of homes. The Homebuyer Target Area is based on contiguous census tracts meeting HUD's criteria for low to moderate income households less those tracts which are predominately non-residential in character.

The permit Fee Waiver Area consists of the Homebuyer Target Area less the area being bought out by ExxonMobil near its refinery. In this area permit fees on new residential construction are waived for both the HOME and non-HOME construction. It has been determined that the buyout area is not viable as a long term residential area.

Race does not confer any preference for programs. In East Baton Rouge Parish, the distribution of black households by income mirrors the distribution of white households by income. Generally low income households are concentrated in the inner and midcity, and middle income households are found in the suburbs especially those developed since WWII. However, general trends do not necessarily accurately characterize the location of race groups. The range between the highest and lowest average income blockgroups is wider for Black households (\$4,030 to \$190,032/year) than White households (\$5,000 to \$140,325) according to the 1990 census. The blockgroup with the highest average Black household income, \$190,032/year, is located in the suburbs south of LSU - an area

typically stereotyped as being White. The blockgroup with the lowest average Black household income is located in the rural northeast - not the inner city. On the other hand, the blockgroup with the lowest average White household income is located in the inner city. However, since low income households in general and ones in areas of low income concentration are disproportionately Black, it is expected that Black households and neighborhoods will also disproportionately benefit.

#### **D. Homeless and Other Special Needs Activities (\$91.220e)**

The City-Parish will offer housing programs designed to improve the availability, affordability and quality of housing in the jurisdiction with Community Development Block Grants and HOME Investment Partnership funds. It will supplement these funds with Weatherization Assistance Program and Supportive Housing Program funds.

The housing rehabilitation and weatherization programs will alleviate some of the cost burdens in the special needs population, particularly the elderly. The improvements to owner-occupied housing will reduce the maintenance costs and the utility costs. Weatherization to renter-occupied properties will reduce costs for renters that pay their own utilities. With these housing costs reduced, the special needs populations will have an increased amount of disposable income to meet other needs.

The City-Parish will continue to use Emergency Shelter Grants as available to assist shelters in the jurisdiction with the costs of rehabilitation, essential services, operations and homeless prevention. The assistance from the Emergency Shelter Grants Program funds enables

nonprofit organizations to improve and preserve their physical property for continued, long-term shelter use, to relieve pressures on operating costs so that the shelters may direct other resources to services and other needs, and to maintain and expand services to shelter residents.

The shelters that receive assistance from Emergency Shelter Grant funds through the City-Parish strive to provide a continuum of care for the homeless persons and families that come to them. The shelters either provide or refer persons to employment and life skills counseling, literacy training, transportation to medical treatment and drug and alcohol counseling. Homelessness prevention services of one month's rent and utility deposits are available to homeless individuals and families as well as follow up caseworker counseling in some cases.

Additionally, Supportive Housing Program funds awarded under the nationally competitive Continuum of Care homeless assistance application are awarded to area non-profit organizations. The SHP provides funding in all eligible categories: acquisition, new construction, rehabilitation, leasing, supportive services, and operations. SHP funds provide assistance for various homeless sub-populations to include persons with: a mental illness, alcohol/substance abuse problems, HIV/AIDS. SHP funds provide assistance to the homeless to access transitional and permanent housing; and supportive services to include: life skills counseling, child care assistance, case management, transportation assistance, food, clothing.

Assistance will be provided to persons with HIV or AIDS and their families through Housing Opportunities for Persons with AIDS.

## **E. Other Actions (§91.220f)**

### **1. Meeting Underserved Needs**

The primary target of activities funded by Community Development programs is improvement of living conditions for low to moderate income persons. The activities are focused on assisting persons in the community to obtain and maintain suitable housing and adequate living standards in stable or improving neighborhoods.

The activities included in the Consolidated Plan and Strategy are designed to overcome obstacles and meet the underserved needs of low and moderate income persons. Housing program activities for improvement of occupied housing, expansion of decent and attractive affordable housing stocks, and assistance to persons to enable them to live in good housing are direct methods to improve living conditions. Activities that improve infrastructure improve the quality of life and raise the living standards for all the people in the neighborhood served by the infrastructure. Economic development activities which provide opportunities for job training, and location and expansion assistance for business and industry are indirect methods to improve living conditions. Public services and facilities that meet community needs, particularly for the young and the elderly, provide a means by which persons may receive needed services and benefits that will enable them to live decently; and, in the case of children, develop the future capability to provide for themselves.

### **2. Maintaining Affordable Housing**

Mutual knowledge and understanding of both private-sector credit and investment needs and public goals and programs are needed to effectively allocate

resources and maximize housing opportunities and neighborhood revitalization. The OCD serves as facilitator and coordinator for private and public participation in increasing the decent affordable housing stock in the jurisdiction. The jurisdiction proposes to use the available housing funds for programs that will provide decent housing for persons with excessive cost burdens and substandard housing. Some of the programs are restricted to the 0-30% MFI households; some are restricted to the under 50% MFI households, and some are available to households with incomes up to 80% MFI. The principal intentions of OCD programs are improving the existing stock of affordable housing, increasing the stock of available affordable housing, and increasing the ability of persons and families to afford standard housing.

HOME program funds are specifically targeted to fostering and maintaining affordable housing. The HOME program funds will be available, at low interest and attractive terms, for the acquisition and rehabilitation of substandard property that results in affordable housing for very low and other low income persons. Financing under this program will be available to both for-profit and nonprofit entities, including Community Housing Development Organizations as defined under HOME.

### **3. Removing Barriers to Affordable Housing**

The City-Parish has recognized that low household income, crime, and neighborhood blight are the principal barriers to affordable housing in East Baton Rouge. Legal and regulatory barriers are less important, and their effects have already been addressed. The building permit fee waiver has been extended into the new Consolidated Plan and Strategy program years. Further reductions in

regulations are unlikely because weak planning and regulations become a barrier in themselves to developing and maintaining standard quality housing and livable neighborhoods.

Low household income is primarily determined by the educational attainment of the adults and the number of workers in the household. The City-Parish will continue to promote education at the lowest level by building and operating a new Head Start Center, and expanding existing Head Start Centers during this plan period. It will also continue to support job training for adults. The City-Parish will continue to cooperate in the Governor's Program on Abstinence, and will participate in programs designed to offset the effects of the federal eligibility bias against intact families provided that federal funding is made available.

The OCD will seek ways to educate low income persons on good credit management with an emphasis on building a good credit record, and avoiding predatory lending.

The OCD will continue to attack the crime problem by continuing its assistance to Operation Takedown to remove vacant and dilapidated housing frequented by drug addicts and dealers. It will encourage the development of projects that displace criminal activity and replace them with decent housing and economically productive uses. It will continue programs that attack the drug trade and will encourage the development of private efforts to rehabilitate drug abusers.

The City-Parish will continue funding infrastructure and other neighborhood improvements through the Neighborhood-based Capital Improvements project. It will also investigate developing a Neighborhood Revitalization Strategy

and whether it can be reconciled with equity in the distribution of resources. The City-Parish will support the HOPE VI application of the Housing Authority as a model for neighborhood revitalization. Other efforts to remove blight and encourage redevelopment will be continued, for example, the Adjudicated Property project and CHDO housing development projects.

The OCD will encourage the development of the Louisiana House Project at LSU, and similar programs which address the particular environmental problems that are barriers to affordable housing.

#### **4. Evaluating and Reducing Lead-based Paint Hazards**

##### **a. Contracts for Rehabilitation**

The Office of Community Development administers the Housing Rehabilitation Grants and Loans and a portion of the Section 8 Housing Program. As part of those programs, OCD has adopted HUD's Guidelines under CFR 24 Part 35 concerning Lead Based Paint Hazards. OCD requires all rehabilitation contractors and owners of rental property assisted with funding programs to comply with *Material and Workmanship Standards 1170.10*. OCD also informs owners and tenants of units rehabilitated with Federal funds about lead-based paint hazards.

New federal regulations on lead-based paint hazards were implemented in September 2000. Under a transition plan approved by HUD, the OCD will implement the regulation beginning in September 2001. The OCD will be evaluating the costs associated with the new regulations and updating contracts to make them consistent with the new regulations.

Rehabilitation contractors submitting bids on renovations of housing that are funded with Federally-assisted grants or loans are required to consider OCD's *Material and Workmanship Standard 1170.10, Lead Based Paint Hazards* when estimating the cost of a rehabilitation project. *Material and Workmanship Standard 1170.10* states that the use of lead-based paint on any applicable surface is not permitted. All defective paint conditions shall be assumed to involve lead-based paint and to constitute health hazards. All applicable surfaces identified and health hazards (cracking, scaling, peeling, or loose lead-based paint on applicable surfaces) shall be thoroughly washed, sanded, scraped, or wire brushed so as to remove all cracking, scaling, peeling, or loose paint before repainting. These surfaces must receive a minimum of two coats of suitable non-lead-based paint.

Where it is infeasible to control or correct the cracking, scaling, peeling, or loosening of the lead-based paint and where the integrity of the treated surfaces cannot be maintained, the paint on these surfaces shall be removed or covered with material such as hardboard, dry wall, plaster or other suitable material.

##### **b. Programs**

The owner or landlords of housing rented to tenants that receive Federally-funded Section 8 assistance and receive rehabilitation funds for renovations are required to comply with the *Material and Workmanship Standard 1170.10: Lead Based Paint Hazards*.

Owners and tenants of rehabilitated units are advised of lead-based paint hazards prior to the construction. During the initial application to determine income eligibility, homeowners that apply

for a rehabilitation grant or loan and tenants that are recertifying for Section 8 rental assistance are provided a copy of HUD's publication from the Office of Lead Based Paint Abatement and Poisoning Prevention, entitled *Lead Based Paint, A Threat to Your Children*, to read and sign the attached receipt to show that they have been informed concerning the hazards of lead-based paints.

### **c. Lead-Based Paint Training**

The Office of Community Development (OCD) has contracted with Louisiana State University to train staff, non-profits and contractors on lead hazard management in housing rehabilitation. This training helps meet the requirements of the new federal lead hazard management regulations which took affect in September 2000.

## **5. Reducing the Number of Poverty Level Families**

### **a. Economic Development Projects**

The City-Parish believes that the creation and retention of new and existing jobs can be an effective tool in the reduction of the number of persons at or below the poverty line. The City-Parish expects to assist with the development of eligible projects that target the expansion of job opportunities for low income persons.

### **b. Enterprise Zone Program**

The Enterprise Zone Program is administered at the local level by the Planning Commission. Under the Enterprise Zone Program, businesses are given tax breaks for expanding and existing or locating a new business within a locally approved Enterprise Zone. Each Enterprise Zone is a state identified area

consisting of low income and high unemployment. Additional tax breaks are given to businesses for each resident of the enterprise zone or contiguous zone who is employed as a result of the location or expansion of the business within the zone.

In an effort to insure that new jobs benefit primarily lower income persons to the greatest extent possible, and to streamline so that the jobs may be created more expeditiously, the City-Parish has adopted policy and procedures for analyzing and processing enterprise zone applications. The program is an important tool for locating employment opportunities that can reduce the number of poverty level families.

### **c. Brownfields Economic Redevelopment Program**

The City-Parish Planning Commission received funding for a Brownfields Assessment Demonstration Pilot Project in October, 2000. The Pilot is creating an inventory of brownfields within a target area made up of severely distressed, urban core neighborhoods. The sites will be assessed for contamination, indexed for level of required remediation, and evaluated for redevelopment potential. The inventory will be made available to the public, along with information and technical assistance about clean-up and reuse through the Brownfields Resource Center.

The Planning Commission formed a Steering Committee whose mission is to support the efforts of the Pilot Project and the Baton Rouge Brownfields Economic Redevelopment Program by promoting community understanding and interest, and mobilizing resources for effective identification, assessment, and redevelopment of properties that are underutilized or abandoned due to actual or perceived contamination.

The Steering Committee is the information link to developers and financial institutions with interest and expertise in redevelopment projects.

Within the targeted neighborhoods, the Planning Commission has created partnerships with Community Development Corporations and nonprofits to gather community input. To date, we have made presentations at over one dozen local meetings and identified 66 potential brownfields. In addition, the staff has begun educating neighborhood volunteers to identify brownfields sites and envision redevelopment scenarios through training workshops for organizations such as CEDA - Americorps and students at Southern University. Funding for acquisition and clean-up will be researched and developed through the Planning Commission in partnership with local, state, and federal agencies.

## **6. Developing Institutional Structure**

The basic foundation upon which to increase and improve the institutional structure responsible for housing programs and delivery of services already exists. Public entities such as the City-Parish Office of Community Development and the Baton Rouge Housing Authority have considerable experience in rehabilitation rental assistance and HUD programs. Social, health, job training, homeless, and related services are in place among a number of public and private nonprofit providers. Private-sector interest in assisted housing and neighborhood revitalization is growing along with increased interest in participation in publicly-assisted programs. The development of the Consolidated Plan and Strategy contributed to the development of the institutional structure through the coordination and citizen participation process.

Universities in Baton Rouge have been taking a more active role in community development and

service delivery. Both Louisiana State University, the only state Class I Research university; and Southern University, a major historically Black university, are expanding their participation in community development.

LSU in Baton Rouge has a small business incubator which was expanded with community development assistance. It also sponsors the Community University Partnership which is active in “The Bottom”, South Baton Rouge, and other low income neighborhoods to the north of LSU. The LSU School of Architecture has been active in neighborhood studies. LSU’s Computer Aided Design and Geographic Information Systems Research Laboratory has participated in the development of the Adjudicated Property Project. The OCD supports LSU in its efforts to obtain a Community Outreach Partnership Center (COPC) grant.

LSU has provided lead-based paint hazard management training for OCD staff, contractors, and nonprofit organizations. New initiatives include the LSU Agricultural Center’s Louisiana House Project which will develop improved house designs to meet both traditional challenges such as heat and humidity, and new issues such as wiring for the information age and Formosan termites.

The LSU Medical Center now operates Earl K. Long Hospital providing health services especially to poor people.

Southern University has also developed a small business incubator for which community development support is programmed. Its activities include the Small Business Entrepreneurial Training Program. Housing and economic development programs are provided through the Southern

University affiliated University Community Development Corporation. Southern is also active in environmental hazard remediation and justice issues.

It is anticipated that the institutional structure linking the universities to the surrounding community will continue to develop.

## **7. Enhancing Coordination Between Public and Private Housing and Social Services**

The City-Parish Office of Community Development maintains an Affordable Housing Clearinghouse to coordinate affordable and supportive housing planning in the jurisdiction. The Clearinghouse collects data, receives and disseminates information on training programs, maintains a library of affordable housing and community development materials, provides technical assistance to nonprofit housing related organizations for capacity building, reviews proposals and activities, and identifies resources available to further overall housing goals. The Affordable Housing Clearinghouse coordinates the certification by the Mayor-President of applications directly to HUD by organizations in the jurisdiction for consistency with the Consolidated Plan & Strategy. The Clearinghouse will continue to provide the coordination of certification with the Consolidated Plan of applications for organizations in the jurisdiction.

## **8. Public Housing Annual Plan, Fostering Improvements and Resident Initiatives**

The Baton Rouge Housing Authority prepares an annual action plan for its Capital Improvements which is available from the Housing Authority. The Capital Fund Program FY 2001 budget is \$2,202,274. In addition, it has applied for

a HOPE VI grant which would provide for the demolition of its two worst projects and replacement with lower density and scattered site housing, and related commercial development. The City-Parish has committed a wide variety of support for the HOPE VI Grant.

The Housing Authority participates in programs to provide housing for homeless individuals and persons with disabilities, increase affordable housing stock, eliminate illegal drugs in the community, provide youths with educational and recreational activities, provide literacy and GED instruction, and promote economic self-sufficiency for those who receive housing assistance.

The Housing Authority is expanding its early childhood education program run in conjunction with the East Baton Rouge Parish School Board. The program was started at Turner Plaza in November 2000 for three year olds. Based on its success so far, it will be expanded to include four year olds at Turner Plaza, and a second site at Kelly Terrace for three year olds. In addition to 8 am to 3:30 pm classroom work, the program includes the Home Instructional Program for Preschool Youth which sends an instructor to each child's home weekly to teach parents an academic lesson they can review with their children.

During FY 2000, the Housing Authority expended in excess of \$539,000 on resident initiative programs and safety and security programs. This includes salary for a Resident Initiatives Coordinator, operating funds for 16 residents' organizations, incentives for participation in family literacy and job training, youth entrepreneurship, CTEC: 20/20 (Community Technological Educational Center), Boys and Girls Clubs after-school programs, Boy Scouts and Girl Scouts, community policing,

volunteer resident patrols and Welfare Reform Job Readiness Program organization. The Public Housing Drug Elimination Program budget for FY 2001 is \$325,787.

A member from each residents' organization is on the resident management council which participates in management, operations, and policy formation.

## **F. Program-specific Requirements** (§91.220g)

The primary Federal programs anticipated to be directly available to the City-Parish from HUD on a formula (entitlement) basis, administered through OCD, are the Community Development Block Grant Program (CDBG), the Emergency Shelter Grants Program (ESG), Housing Opportunities for Persons with AIDS grants (HOPWA), and the HOME Investment Partnership Act (HOME).

### **1. Community Development Block Grants**

Title I of the Housing and Community Development Act of 1974 (Public Law 93-383) created the Community Development Block Grant funding program. It is allocated primarily through the entitlement communities, and state and small cities programs. The primary objective of the CDBG program is to develop viable urban communities, by providing decent housing and suitable living environment and expanding economic opportunities principally for persons of low and moderate income. All CDBG projects and activities must meet one of three national objectives: Principally benefit low-and-moderate income persons, aid in the prevention or elimination of slums or blight, or meet other urgent community needs. The City of Baton Rouge and

Parish of East Baton Rouge will use CDBG funds for activities that provide decent housing, provide a suitable living environment, and expand economic opportunities, principally for persons of low and moderate income.

### **2. HOME Investment Partnership**

The HOME Investment Partnership Act is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act (NAHA), Public Law 101-625. HOME is a formula-based allocation program intended to support a wide variety of state and local affordable housing programs. The purpose of the program is to enable grantees to undertake activities and adopt policies aimed at expanding the supply of affordable housing and increasing the number of families who can be served with affordable housing.

The City-Parish will use the HOME funds that it expects to receive during the next year for funding assistance to be provided to individuals and to housing related organizations for the development of affordable housing.

#### **a. Basic Recapture Provisions**

Federal regulations for the HOME Program specify certain requirements for resale restrictions or recapture provisions when HOME funds are used to assist with homeownership purchase, whether purchase is with or without rehabilitation. The basic regulatory requirements are at 24 CFR 92.254(1)(4). The regulation specifies that resale restrictions or recapture provisions apply for a period of 20 years for newly constructed housing or otherwise for 15 years. Subpart (i) of this regulation addresses resale restrictions as they apply to the property for the period of affordability. HOME participating

jurisdictions may use either or both of these approaches in addressing the requirements of the affordability period.

The City of Baton Rouge-Parish of East Baton Rouge homeownership program activity uses only the recapture provisions of 24 CFR 92.254(a)(4)(ii). It is believed that this approach is the closest to normal market approaches to financing, the easiest for borrowers to understand, enables the deed restriction requirements of the alternative option to be avoided (which we believe constitutes a barrier to private financing participation), and better enables HOME funds to be marketed in coordination with other private lending.

The recapture approach essentially results in an ongoing commitment to maintain a homeownership program because of regulatory requirements concerning the reuse of recaptured HOME funds for homebuyer assistance, while the alternative option for resale restrictions does not require the same level of ongoing assistance beyond the expiration of the affordability period tied to each property. An ongoing homeownership assistance activity has been a participating jurisdiction goal and is in keeping with the basic HOME Program Descriptions, and other homeowner loan assistance programs; for example, an existing CDBG Housing Loan Program which is established as a revolving fund with the repayments rededicated for additional housing loan activities.

In the event that the City-Parish should later find that the resale restrictions of the alternative option at 92.254(a)(4)(i) may prove viable as an additional approach for HOME homebuyer assistance, these Resale/Recapture Provisions will be amended and submitted to HUD for prior review and approval.

Federal regulations on recapture require that a property resold by the HOME-assisted homebuyer during the period of affordability be subject to recapture of the full HOME investment out of the net proceeds, except for certain instances specified in that section of the regulations. Net proceeds is defined as the sales price minus loan repayment and closing costs.

HOME regulations require that, "If net proceeds are not sufficient to recapture the full amount of the homeowner's downpayment, principal payments, and any capital improvements investment, the participating jurisdiction's recapture provisions may allow the HOME investment amount that must be recaptured to be reduced." In this instance, the reduction may be according to a reduced prorata based on the time the homeowner owned and occupied the unit. However, the regulation prohibits allowing the homeowner to recover more than the homeowner's downpayment, principal payments and any capital improvement investment in instances where the net proceeds are insufficient to recapture the full HOME investment.

The recapture regulations specify that the HOME funds subject to recapture are the HOME assistance that enabled the first-time homebuyer to buy the dwelling unit. It is also required that recaptured funds must be used to assist other first-time homebuyers.

#### **b. Other Recapture Provisions**

The recapture period shall be equal to or greater than that required by the federal regulations.

### c. Resale/Recapture Provisions

1) All first-time homebuyer assistance under the HOME program is to be in the form of loan financing, with a recorded mortgage on the property. Each mortgage and corresponding promissory note is to be in an amount of no less than the principal loan amount provided with HOME funds.

2) Prior to funding of homebuyer assistance, a written loan agreement will be required between the homebuyer and the City-Parish. That agreement will specify all resale and recapture provisions as well as all other applicable requirements of the program.

3) All mortgages, notes and loan agreements specify a repayment term of no less than: 15 years for existing housing (with or without rehabilitation); 20 years for new construction housing, and; if HUD-insured financing is involved, no less than the term of that financing. Loan terms may be longer than the minimum affordability period specified in the regulations (i.e., we may allow a 20-year repayment on a loan involving homebuyer assistance of an existing house).

4) All mortgages will contain a "due on sale" clause, for the full principal balance of the HOME loan, in the event of any transfer of the property. Mortgages will also include the "principal residence" requirement of the program, failure of which will constitute a default of the mortgage.

5) A first-time homebuyer purchaser of a resale property may apply for and be provided new HOME assistance, subject to the property and said buyer meeting HOME Program eligibility criteria, written agreement to comply with program terms and conditions, and the availability of funds.

6) The loan agreement will specify the terms under which a reduction from full recapture will be allowed under circumstances whereby net proceeds from resale are insufficient to recapture the full HOME investment amount. The terms include a prorata reduction based upon the time that the homeowner has owned and occupied the housing measured against the required affordability period will be applied.

For example, a homebuyer who sells after 5 years would have a reduction of 1/3rd on a 15-year affordability property.

7) Regardless of the prorata reduction that may be available, the reduction will not exceed that amount necessary to enable the homeowner to recover the downpayment, principal payments, and any capital investment.

For example, a homeowner eligible for a 1/3rd reduction, but for which only a 1/4th reduction is necessary in order to achieve the homeowner's allowed recovery, would receive only the 1/4th reduction.

8) Subordination of a second mortgage HOME loan will be allowed in instances whereby the original first-time homebuyer seeks to refinance an original private first mortgage debt, subject to prior review of the refinancing terms and approval by the City-Parish. City-Parish review and approval will consider, but not necessarily exclusively, the effect upon the capacity of the homeowner to meet HOME debt obligations, any effect upon HOME recapture provisions, and the effect upon the mortgage security of the HOME loan.

9) For property that is resold within five years of when HOME assistance was provided, the terms of

the loan agreement specify that resale will be subject to City-Parish equity participation in any net proceeds that are in excess of those necessary to realize full HOME recapture and to enable the homeowner to recover allowed costs. The amount of equity participation will be the excess net proceeds times the prorata portion of initial total HOME assistance measured against the initial total investment. After occupancy for two years, equity participation will be reduced by 1/36th for each month that the homebuyer continues to own and occupy the property as principal residence. After the initial five years, no equity participation will be required. Any funds received from equity participation will be treated as HOME program income and will be reapplied outward providing additional first-time homebuyer assistance.

10) In the event of homebuyer prepayment (without resale) of the HOME loan prior to the expiration of the affordability term, no less than full payment of the loan will be accepted, and the repaid HOME investment will be treated as recaptured HOME funds for reuse to assist another HOME-eligible first-time homebuyer.

11) In the event of default and foreclosure when the HOME loan is in a first mortgage position, the City-Parish has the option of acquiring title to the property through the legal process of a Sheriff's sale. If this occurs and if the property is acquired by the City-Parish, the property will be offered for re-sale to HOME-eligible homebuyers and purchase financing will be made available to qualified buyers. If another buyer acquires the property, proceeds to the City-Parish from such sheriff's sale will be applied to the HOME program for additional new homebuyer assistance.

12) In the event of default and foreclosure by a first

mortgage holder when the HOME loan is in a second mortgage position, it is our understanding that the period of affordability ends. Nevertheless, the City-Parish does not waive its legal rights to pursue collection of outstanding loan funds under the borrower's promissory note when it finds such action to be in its best interests. Any funds so recaptured will be returned to the HOME Program for the purpose of providing other homebuyer assistance.

13) All recaptures of HOME funds prior to the expiration of the applicable affordability period will be reinvested to assist other HOME-eligible, low income, first-time homebuyers for occupancy as principal residence. To assure that any such recaptured funds are used to provide assistance within a reasonable period of time after recapture, recaptured funds will be used before other HOME funds are invested. Recapture funds will be used for homebuyer assistance in addition to, and not as a substitute for, other HOME funds set aside for this activity.

14) The periods of affordability will commence as of the time of initial sale and provision of HOME assistance to the first-time homebuyer.

15) Occupancy as principal residence is a condition of the mortgage and loan agreement, and failure to meet this requirement is an act of default. In such cases, the City-Parish will pursue its legal rights to foreclose on its mortgage. Occupancy will be monitored annually, under a variety of mechanisms. The loan agreement will authorize the City-Parish to secure utility services information periodically throughout the course of the agreement. Property tax roles will be monitored to determine homestead exemptions. All loans will require homeowner's hazard insurance for the term of the loan, with the City-Parish named as an additional insured certificate

holder, and these will be monitored. Other records, such as the Polk Directory, will be used as additional monitoring resources.

16) The City-Parish HOME Program includes new construction for homeownership opportunities as a planned HOME activity. When HOME assistance is initially provided for new construction development, such assistance will be secured by a mortgage on the property. Development financing will also include a development contract, restricting sale of new

construction property to HOME-eligible, first-time homebuyers under terms that meet all program requirements.

#### **G. Listing of Proposed Projects Forms**

The activities funded by the four programs for Program Year 2001 are enumerated and described in the following **Table VII-1. Listing of Proposed Projects** (HUD Table 3).

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>
0010	Grant Administration / Management / Planning	21A General Program Administration	CDBG \$ 730,000 ESG \$ 10,200
01-0001	Planning & Administration	570.206	HOME \$ 242,500 HOPWA \$ 22,170
	Administration and oversight of Office of Community Development programs. Funding for the project includes: \$730,000 CDBG funds, \$8,000 CDBG program income, \$10,200 ESG funds, \$242,500 HOME funds, \$15,000 HOME program income, and \$22,170 HOPWA funds.	0 N/A	TOTAL \$ 1,004,870  Other Funding CDBG Program Income \$ 8,000 HOME Program Income \$ 15,000 Total Other Funding \$ 23,000

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date:

Eligibility:  
 Subrecipient: Local Government  
 Location(s): N/A

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0016	Indirect Costs	21B Indirect Costs	CDBG	\$ 264,000
			ESG	\$ 0
01-0002	Planning & Administration	570.206	HOME	\$ 0
			HOPWA	\$ 0
		0 N/A	TOTAL	\$ 264,000
	Indirect costs of programs operated by the Office of Community Development, assessed by the City-Parish under a HUD approved indirect cost allocation.		Total Other Funding	\$ 0

Help the Homeless? No

Start Date: 10/01/01

Help those with HIV or AIDS? No

Completion Date:

Eligibility:

Subrecipient: Local Government

Location(s): N/A

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0021	Rehabilitation Program Operational Costs	14H Rehabilitation Administration	CDBG	\$ 950,000
			ESG	\$ 0
01-0003	Housing	570.202	HOME	\$ 0
			HOPWA	\$ 0
			TOTAL	\$ 950,000
	Rehabilitation program staff and overhead of housing rehabilitation program operations including site monitoring, project write-ups, project reviews, pre-construction inspections, rental housing and other housing inspections, and application processing and underwriting for owner occupied and rental housing loans and grants.		Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date:

Eligibility: 570.208(a)(3) - Low / Mod Housing  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

Project ID/ Local ID	Project Title/Priority/ Objective/Description	HUD Matrix Code/Title/ Citation/Accomplishments	Funding Sources		
0018	Program Contingencies	22 Unprogrammed Funds	CDBG	\$ 150,000	
01-0004	Unprogrammed funds for cost overruns of scheduled projects in order to insure adequate funding of projects. If funds are not expended on previously approved projects they will be reappropriated by program admendment.	0 N/A	ESG	\$ 0	
			HOME	\$ 0	
				HOPWA	\$ 0
				TOTAL	\$ 150,000
			Total Other Funding	\$ 0	

Help the Homeless? No

Help those with HIV or AIDS? No

Start Date:

Completion Date:

Eligibility:

Subrecipient:

Location(s): N/A

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0003	Demolition and Clearance	04 Clearance and Demolition	CDBG	\$ 48,000
			ESG	\$ 0
01-0005	Housing	570.201(d)	HOME	\$ 0
			HOPWA	\$ 0
		20 Housing Units	TOTAL	\$ 48,000
	Demolition and Clearance activities to eradicate dilapidated structures not feasible for repair that are located in the low income areas of the jurisdiction have been conducted by the City-Parish Operation Takedown since June, 1993. CDBG assistance to the program is coordinated between the Office of Community Development and the Department of Public Works - Neighborhood Improvements Office. In addition to canvasses and property inspection by the City-Parish, the program operates so that individual residents and neighborhood organizations can refer properties for evaluation that are considered neighborhood problems. All properties are rated according to the level of deterioration, with emphasis placed on those in the most unsafe and unsound condition. The project will be funded with \$48,000 of CDBG funds and \$2,000 of CDBG program income.		Other Funding	
			CDBG Program Income	\$ 2,000
			Total Other Funding	\$ 2,000

Help the Homeless? No  
 Help those with HIV or AIDS? No

Start Date:  
 Completion Date:

Eligibility: 570.208(b)(2) - Slums / Blight Spot  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0017	Neighborhood-Based Capital Improvements	03 Public Facilities and Improvements (General)	CDBG	\$ 900,000
			ESG	\$ 0
01-0006	Public Facilities	570.201(c)	HOME	\$ 0
			HOPWA	\$ 0
			TOTAL	\$ 900,000
			Total Other Funding	\$ 0
	<p>An on-going multi-year project whereby neighborhood infrastructure projects are undertaken as funding allows on a priority basis. Projects are selected from an annual priority list or selected as necessary support for other projects which are planned during the current year. Projects may include improvements in relation to developments sponsored by the Community Housing Development Organizations or the Housing Authority's HOPE VI grant should it be selected for funding by HUD. Projects for which funding is insufficient during the current year's Action Plan are moved up for consideration in later year's Action Plans. Esitimated use of funds; projects will be funded using 80% of the funds for the CDBG Target Area and 20% for other low income areas.</p>			

Help the Homeless? No Start Date:  
 Help those with HIV or AIDS? No Completion Date:

Eligibility: 570.208(a)(1) - Low / Mod Area  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0008	Fair Housing	21D Fair Housing Activities (subject to 20% Admin cap)	CDBG	\$ 10,000
			ESG	\$ 0
01-0007	Housing	570.206(c)	HOME	\$ 0
			HOPWA	\$ 0
	The Office of Community Development (OCD) as the designated Fair Housing Agency for the City-Parish will conduct Fair Housing activities for the jurisdiction to ensure compliance with the Federal Fair Housing Laws. Fair Housing activities will include information services, advertising, and promotion, as well as affirmative marketing education in homebuyer education classes.	0 N/A	TOTAL	\$ 10,000
			Total Other Funding	\$ 0

Help the Homeless? No  
 Help those with HIV or AIDS? No

Start Date:  
 Completion Date:

Eligibility: 570.208(a)(3) - Low / Mod Housing  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0013	Homeownership Services	05 Public Services (General)	CDBG	\$ 35,000
			ESG	\$ 0
01-0008	Housing	570.201(n)	HOME	\$ 0
			HOPWA	\$ 0
		300 Households (General)	TOTAL	\$ 35,000
	The Homeownership Services activity will provide housing counseling and homeowner education programs for 300 low and moderate income potential homebuyers and former homebuyers. The activity will include outcome analysis of housing programs.		Total Other Funding	\$ 0

Help the Homeless? No

Start Date:

Help those with HIV or AIDS? No

Completion Date:

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele

Subrecipient: Subrecipient Private 570.500(c)

Location(s): N/A

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0012	Home Maintenance Improvements Program (HMIP)	14A Rehab; Single-Unit Residential	CDBG	\$ 693,000
			ESG	\$ 0
01-0009	Housing	570.202	HOME	\$ 0
			HOPWA	\$ 0
		35 Households (General)	TOTAL	\$ 693,000
	The HMIP provides grant assistance to very low income homeowners. The OCD has its own carpentry crews to perform HMIP work, except for plumbing and electrical work which is subcontracted. In addition to full rehabilitation of substandard housing, the program provides adaptive retrofitting for disabled/elderly occupants and limited repairs to address particularly hazardous conditions. The program will assist 35 households by September, 2002.		Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date: 09/30/02

Eligibility: 570.208(a)(3) - Low / Mod Housing  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

Project ID/ Local ID	Project Title/Priority/ Objective/Description	HUD Matrix Code/Title/ Citation/Accomplishments	Funding Sources	
0022	Small Housing Repair Grants Program	14A Rehab; Single-Unit Residential	CDBG	\$ 400,000
			ESG	\$ 0
01-0010	Housing	570.202	HOME	\$ 0
			HOPWA	\$ 0
		30 Households (General)	TOTAL	\$ 400,000
	CDBG funds make possible the Small Housing Repair Grants. It is targeted to 0% to 50% MFI owner households and is to be used for electrical, roof, gas, and plumbing repairs. The program focuses on properties that do not require extensive repairs. Adaptation for handicapped accessibility will be included when appropriate to the needs of the owner/occupant. The program will assist 30 households by September, 2002		Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date: 09/30/02

Eligibility: 570.208(a)(3) - Low / Mod Housing  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0015	Housing Rehabilitation Grants	14A Rehab; Single-Unit Residential	CDBG	\$ 900,000
			ESG	\$ 0
01-0011	Housing	570.202	HOME	\$ 226,300
		50 Households (General)	HOPWA	\$ 0
	The existing Community Development Block Grant program activity of housing rehabilitation to provide rehabilitation grants targeted to very low income homeowners will be continued for bringing properties into compliance with local housing codes and HUD Housing Quality Standards. Adaptations for handicap and energy conservation improvements will remain as part of the grant program. Lead hazard management will be expanded in accordance with new federal regulations having been implemented in September, 2000. The program will provide rehabilitation to an estimated 50 households by September, 2002.		TOTAL	\$ 1,126,300
			Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date: 09/30/02

Eligibility: 570.208(a)(3) - Low / Mod Housing  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0025	Volunteer Repair Program	14A Rehab; Single-Unit Residential	CDBG	\$ 20,000
			ESG	\$ 0
01-0012	Housing	570.202	HOME	\$ 0
			HOPWA	\$ 0
		25 Households (General)	TOTAL	\$ 20,000
	This program provides materials and supplies for minor repairs to homes owned and occupied by very low income persons, when the repairs are undertaken through community / neighborhood volunteerism.		Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date: 09/30/02

Eligibility: 570.208(a)(3) - Low / Mod Housing  
 Subrecipient: Subrecipient Private 570.500(c)  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

Project ID/ Local ID	Project Title/Priority/ Objective/Description	HUD Matrix Code/Title/ Citation/Accomplishments	Funding Sources		
0014	Housing Loan Program	13 Direct Homeownership Assistance	CDBG	\$ 200,000	
			ESG	\$ 0	
01-0013	Housing	570.201(n)	HOME	\$ 1,469,950	
		120 Households (General)	HOPWA	\$ 0	
	<p>The Housing Loan Program will provide low interest loans to: homebuyers for combined housing purchase and rehabilitation, existing homeowners for housing rehabilitation, and to non-profit and for-profit organizations for affordable rental housing rehabilitation/development. This program will be completed by December 2005. The program will enable 90 households to obtain affordable housing and 30 rental units to be rehabilitated. Funding will include \$200,000 CDBG funds plus \$465,000 CDBG program income and \$1,469,950 HOME funds plus \$135,000 HOME program income. Eligibility is under 570.201(n) and HOME regulations at 24 CFR 92.205.</p>		TOTAL	\$ 1,669,950	
				Other Funding	
				CDBG Program Income	\$ 465,000
				HOME Program Income	\$ 135,000
				Total Other Funding	\$ 600,000

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date: 09/30/02

Eligibility: 570.208(a)(3) - Low / Mod Housing  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0001	CHDO Operating Assistance	211 HOME CHDO Operating Expenses (subject to 5% cap)	CDBG	\$ 0
			ESG	\$ 0
01-0014	Housing		HOME	\$ 122,500
			HOPWA	\$ 0
			TOTAL	\$ 122,500
	Small grants, not to exceed \$20,000, made to qualified Community Housing Development Organizations (CHDO's). CHDO Operating Assistance Grants are awarded after a competitive application process and can be used to support some day-to-day operating expenses such as salaries, training, utilities, office space, etc.		Total Other Funding	\$ 0

Help the Homeless? No  
 Help those with HIV or AIDS? No

Start Date: 10/01/01  
 Completion Date: 09/30/02

Eligibility:  
 Subrecipient: CHDO - 92.2  
 Location(s):

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0002	CHDO Activities	12 Construction of Housing	CDBG	\$ 0
			ESG	\$ 0
01-0015	Housing	570.204	HOME	\$ 363,750
			HOPWA	\$ 0
		30 Households (General)	TOTAL	\$ 363,750
	Community Housing Development Organizations are private non-profit organizations that meet certain qualifications defined in the HOME federal regulations. Organizations may submit "Request for Qualifications" packets to the Office of Community Development for a determination of eligibility. CHDO's may receive HOME funding as developers, owners, or sponsors of assisted housing for eligible projects. HOME funds of \$363,750 are expected to be available for CHDO activities. The CHDO activities will benefit 30 households. Priority will be given to homebuyer projects. The activities will be completed by September, 2005.		Total Other Funding	\$ 0

Help the Homeless? No  
 Help those with HIV or AIDS? No

Start Date: 10/01/01  
 Completion Date: 09/30/05

Eligibility:  
 Subrecipient: CHDO - 92.2  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

Project ID/ Local ID	Project Title/Priority/ Objective/Description	HUD Matrix Code/Title/ Citation/Accomplishments	Funding Sources
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0004	Emergency Shelter Grants -Essential Services	03T Operating Costs of Homeless/AIDS Patients Programs	CDBG	\$ 0
			ESG	\$ 37,500
01-0016	Homeless & HIV/AIDS	570.201(e)	HOME	\$ 0
			HOPWA	\$ 0
	For the provision of essential services such as those relating to employment, health, drug abuse and education for persons who are homeless. Project funds are awarded to non-profit shelter providers through a competitive application process.	968 People (General)	TOTAL	\$ 37,500
			Total Other Funding	\$ 0
	Organizations Funded:			
	a. CCS Joseph Homes- \$10,000			
	b. LIFTD/Women's Comm. Rehab. Ctr.- \$13,000			
	c. Myriam's House- \$3,000			
	d. O'Brien House- \$10,000			
	e. SVDP Bishop Ott (Day/Night Shelter)- \$1,500			

Help the Homeless?	Yes	Start Date:	10/01/01
Help those with HIV or AIDS?	No	Completion Date:	09/30/03

Eligibility:

Subrecipient:	Other ...
Location(s):	N/A

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0006	Emergency Shelter Grants -Operations	03T Operating Costs of Homeless/AIDS Patients Programs	CDBG	\$ 0
			ESG	\$ 130,564
01-0017	Homeless & HIV/AIDS		HOME	\$ 0
		570.201(e)	HOPWA	\$ 0
	Payment of costs associated with program operations including, but not limited to insurance, utilities, furnishings and maintenance to shelters.	4159 People (General)	TOTAL	\$ 130,564
	Organizations Funded:		Total Other Funding	\$ 0
	a. A New Inspiration- \$10,784			
	b. CAFVIC Zonta House- \$12,000			
	c. CCS Joseph Homes- \$2,000			
	d. CCS Families First- \$6,000			
	e. Elect Lady Comm. Serv. Prgm.- \$5,000			
	f. LIFTD/Women's Comm. Rehab.- \$7,000			
	g. Maison Des Ami of LA- \$4,000			
	h. Myriam's House- \$9,000			
	i. O'Brien House- \$1,000			
	j. OLOL St. Anthony's House- \$14,000			
	k. SVDP Bishop Ott (Night Shelter)- \$5,000			
	l. SVDP Bishop Ott (Day/Night Shelter)- \$14,000			
	m. SVDP Bishop Ott (Women and Children's Shelter)- \$20,780			
	n. VOA Drop In Center- \$10,000			
	o. VOA Parker House- \$5,000			
	p. VOA Shiloh Ministries- \$5,000			

Help the Homeless? Yes

Start Date: 10/01/01

Help those with HIV or AIDS? No

Completion Date: 09/30/03

Eligibility:

Subrecipient: Other ...

Location(s): N/A

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

Project ID/ Local ID	Project Title/Priority/ Objective/Description	HUD Matrix Code/Title/ Citation/Accomplishments	Funding Sources	
0005	Emergency Shelter Grants -Homeless Prevention	03C Homeless Facilities (not operating costs)	CDBG	\$ 0
			ESG	\$ 19,500
01-0018	Homeless & HIV/AIDS	570.201(c)	HOME	\$ 0
			HOPWA	\$ 0
	This activity provides assistance such as short-term subsidies to defray rent and utilities costs, security deposits for first month's rent, and mediation for landlord-tenant disputes for persons who are homeless or threatened with homelessness. Project funds are awarded to non-profit shelter providers through a competitive application process.	188 People (General)	TOTAL	\$ 19,500
			Total Other Funding	\$ 0
	Organizations Funded:			
	a. CAFVIC Zonta House- \$3,000			
	b. Myriam's House- \$1,500			
	c. SVDP Bishop Ott (Day/Night Shelter)- \$2,500			
	d. VOA Family Emerg. Shelter- \$10,000			
	e. VOA Drop-in Center- \$2,500			

Help the Homeless? Yes  
 Help those with HIV or AIDS? No

Start Date: 10/01/01  
 Completion Date: 09/30/03

Eligibility:  
 Subrecipient: Other ...  
 Location(s): N/A

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0007	Emergency Shelter Grants -Renovations	03C Homeless Facilities (not operating costs)	CDBG	\$ 0
			ESG	\$ 6,236
01-0019	Homeless & HIV/AIDS	570.201(c)	HOME	\$ 0
			HOPWA	\$ 0
	The Emergency Shelter -Renovations activity provides rehabilitation assistance for shelters for the homeless. Funds for Emergency Shelter -Renovations projects are awarded to nonprofit shelter providers through a competitive application process. The program will assist 100 persons and will be completed by September, 2003.	100 People (General)	TOTAL	\$ 6,236
			Total Other Funding	\$ 0
	Organizations Funded:			
	a. Masion Des Ami of LA-			\$6,236

Help the Homeless? Yes Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date: 09/30/03

Eligibility:  
 Subrecipient: Other ...  
 Location(s): N/A

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0011	HOPWA Grants	03T Operating Costs of Homeless/AIDS Patients Programs	CDBG	\$ 0
			ESG	\$ 0
01-0020	Homeless & HIV/AIDS		HOME	\$ 0
			HOPWA	\$ 716,830
	The HOPWA Grants activity provides housing assistance to persons with HIV or AIDS and thier families through nonprofit service providers. Funds are to be awarded through a competitive funding process. Request for proposals solicit activities to be undertaken and submittals are rated for ability to provide services.	100 People (General)	TOTAL	\$ 716,830
			Total Other Funding	\$ 0
	Organizations Funded:			
	a. Friends for Life- \$212,853			
	b. HAART- \$363,840			
	c. OLOL St. Anthony's Home- \$140,137			

Help the Homeless? No  
 Help those with HIV or AIDS? No

Start Date:  
 Completion Date: 09/30/03

Eligibility:  
 Subrecipient: Other ...  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
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Listing of Proposed Projects**

Project ID/ Local ID	Project Title/Priority/ Objective/Description	HUD Matrix Code/Title/ Citation/Accomplishments	Funding Sources	
0019	Property Improvement Program	14E Rehab; Publicly or Privately- Owned Commercial/Industrial	CDBG	\$ 200,000
			ESG	\$ 0
01-0021	Public Services	570.208(a)(3)	HOME	\$ 0
			HOPWA	\$ 0
			TOTAL	\$ 200,000
			Total Other Funding	\$ 0
	<p>The Property Improvement Program provides for repair, expansion, relocation, or improvement of non-profit owned property used to expand public service activities. Assistance to be in the form of a deferred, forgivable loan with conditions being that the property be used to provide public services for a defined term. Request for proposals will solicit activities to be undertaken and submittals rated for ability to improve services provided</p>			

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date:

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele  
 Subrecipient: Local Government  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
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Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0020	Public Service Grants	05 Public Services (General)	CDBG	\$ 300,000
			ESG	\$ 0
01-0022	Public Services	570.208(a)(2)	HOME	\$ 0
			HOPWA	\$ 0
		21 Organizations	TOTAL	\$ 300,000
	The Public Service Grants Program provides small grants made to non-profits to assist in the undertaking of pilot demonstration programs, the expansion of successful programs, and continuation of existing projects subject to revenue reduction for reasons other than non-performance which are focused on activities in support of welfare reform. Programs are to be for services to lower income persons in the areas of employment / job readiness, child care, health services, drug abuse prevention, crime prevention, and similar activities. Funding for the proposed activity is for \$300,000 allowing for funding of an estimated 21 to 25 grants depending on actual proposals received.		Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date:

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele  
 Subrecipient: Subrecipient Private 570.500(c)  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0009	Family Loan Program	05 Public Services (General)	CDBG	\$ 55,000
			ESG	\$ 0
01-0023	Other	570.208(a)(2)	HOME	\$ 0
			HOPWA	\$ 0
		15 Households (General)	TOTAL	\$ 55,000
	The Family Loan Program provides small low interest loans through the Family Services of Greater Baton Rouge to qualified low income families to help alleviate barriers to employment and employment stability. Contracts for the program are for a two year period.		Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date:

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele  
 Subrecipient: Subrecipient Public 570.500(c)  
 Location(s): N/A

**U.S. Department of Housing & Urban Development  
 CPD Consolidated Plan  
 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0023	St. Vincent de Paul Pharmacy	05M Health Services	CDBG	\$ 50,000
			ESG	\$ 0
01-0024	Homeless & HIV/AIDS	570.208(a)(2)	HOME	\$ 0
		565 People (General)	HOPWA	\$ 0
	To support the existing program operations of providing medical prescription services to the poor, homeless, elderly, and disabled. Through the support of volunteers and donations from the medical community the St. Vincent de Paul Pharmacy plans to distribute \$500,000 worth of prescription medications and \$100,000 worth of over-the-counter medications this year.		TOTAL	\$ 50,000
			Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date:

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele  
 Subrecipient: Subrecipient Private 570.500(c)  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0024	Volunteen Program	05 Public Services (General)	CDBG	\$ 33,000
			ESG	\$ 0
01-0025	Public Services	570.201(e)	HOME	\$ 0
		4000 People (General)	HOPWA	\$ 0
	"Volunteer Baton Rouge!" is a non-profit agency dedicated to meeting community needs through promotion and coordination of volunteerism in the jurisdiction. The agency sponsors "VolunTeens", a year-round program affiliated with the Youth Volunteer Corps of America. They provide volunteer hours to soup kitchens, food pantries, nursing homes and day camps for children that live in low to moderate income neighborhoods or that have special needs. The VolunTeens will provide service to low and moderate income persons during the contact period of January 1, 2002 to December 31, 2002. The project will be assisted with \$33,000 CDBG funds. Eligibility is under 570.201(e).		TOTAL	\$ 33,000
			Total Other Funding	\$ 0

Help the Homeless? No Start Date: 01/01/02  
 Help those with HIV or AIDS? No Completion Date: 12/31/02

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele  
 Subrecipient: Subrecipient Private 570.500(c)  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects**

Project ID/ Local ID	Project Title/Priority/ Objective/Description	HUD Matrix Code/Title/ Citation/Accomplishments	Funding Sources	
0027	Nonprofit Training Program	05 Public Services (General)	CDBG	\$ 12,000
			ESG	\$ 0
01-0026	Public Services	570.201(e)	HOME	\$ 0
			HOPWA	\$ 0
		0 N/A	TOTAL	\$ 12,000
	The Nonprofit Training Center provides technical assistance and training for nonprofit organizations that primarily serve lower income persons and is intended to increase the effectiveness and efficiency of participating organizations so that they may expand their capacity to deliver services. The Nonprofit Training Center is run by Volunteer Baton Rouge, Inc. through its Nonprofit Resource Center as the Opt for Excellence activity. CDBG funds of \$12,000 will be provided for the Nonprofit Training Program. The activity is eligible under 570.		Total Other Funding	\$ 0

Help the Homeless? No Start Date: 10/01/01  
 Help those with HIV or AIDS? No Completion Date:

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele  
 Subrecipient: Subrecipient Private 570.500(c)  
 Location(s): Community Wide

**U.S. Department of Housing & Urban Development  
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 Listing of Proposed Projects**

<b>Project ID/ Local ID</b>	<b>Project Title/Priority/ Objective/Description</b>	<b>HUD Matrix Code/Title/ Citation/Accomplishments</b>	<b>Funding Sources</b>	
0026	Temporary Relocation	08 Relocation	CDBG	\$ 25,000
			ESG	\$ 0
01-0027	Housing	570.201(i)	HOME	\$ 0
			HOPWA	\$ 0
		10 Households (General)		
	Temporary relocation of persons being displaced while lead-based paint hazards are addressed in their dwelling.		TOTAL	\$ 25,000
			Total Other Funding	\$ 0

Help the Homeless? No  
 Help those with HIV or AIDS? No

Start Date: 10/01/01  
 Completion Date:

Eligibility: 570.208(a)(2) - Low / Mod Limited Clientele  
 Subrecipient:  
 Location(s):

## VIII. MONITORING (§91.230)

### A. Standards and Procedures

Program evaluation and monitoring is the means by which the City of Baton Rouge and Parish of East Baton Rouge provides administrative oversight and performance testing of activities undertaken through HUD assistance under this Consolidated Plan and Strategy. Evaluation and monitoring applies to both activities directly undertaken by the City-Parish as well as subrecipient and other work or services performed on a contractual basis.

#### 1. Specific Regulatory Requirements

Specific regulatory requirements of HUD programs are included as required compliance matters in all subrecipient and other written agreements in accordance with the particular requirements of the HUD program and the type of activity being undertaken. These include, but are not limited to:

- 1) The Fair Housing Act, as implemented by 24 CFR, Part 100;
- 2) Title VI of the Civil Rights Act of 1964, implemented by 24 CFR, Part 1;
- 3) The Age Discrimination Act of 1975, as implemented by 24 CFR Part 146;
- 4) Section 504 of the Rehabilitation Act of 1973, as implemented by 24 CFR Part 8;
- 5) Section 3 of the Housing and Urban Development Act of 1968;
- 6) Executive Order 11063, as amended, and implemented by Part 107;
- 7) Executive Order 11246, as implemented by 41 CFR Chapter 60;

- 8) Executive Orders 11625 and 12432 (concerning minority business enterprise), and 12138 (concerning women's business enterprise);
- 9) Americans with Disabilities Act of 1990;
- 10) The National Environmental Policy Act of 1969, as implemented by regulations at 24 CFR Parts 50 and 58;
- 11) The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as implemented by regulations at 49 CFR Part 24;
- 12) The Davis-Bacon Act (40 U.S.C. 276a-5), the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-332) and HUD Handbook 1344.1;
- 13) The Conflict of Interest provisions in 24 CFR Part 85 and OMB Circular A-110;
- 14) The Flood Disaster Protection Act of 1973;
- 15) OMB Circulars A-87, A-128, A-122, A-21, A-133 and A-110, as applicable to the particular agreement.

#### 2. Contract Requirements and Monitoring Procedures

While there may be considerable difference in the specifics of any contract, sub-recipient agreement, or other agreement for an assisted activity, each includes certain minimum items, including, but not necessarily limited to: dollar amount, description of work or type of assistance, schedule and performance requirements, record keeping and monitoring.

OCD staff is charged with the responsibility of assuring that all activities, whether in-house or

through sub-recipient or other third-party agreements, are carried out in accordance with all applicable laws and regulations. OCD staff evaluation and monitoring is primarily undertaken through the OCD program Planning Section, supervisory staff of OCD operational sections, and OCD administrative staff.

According to the nature of the activity, individual staff members are assigned specific monitoring duties involving oversight of plan activities. The Planning Section Grants Management Coordinator assigns lead monitoring responsibilities to staff to oversee performance and compliance requirements involving ESG and HOPWA subrecipients. The Technical Services Coordinator performs environmental reviews and assigns project and financial tracking to staff. The Housing Program Manager assigns the housing staff. The Inspections Supervisor assigns inspection staff to assigned regular monitoring and oversight of rehabilitation work.

It is the intent of monitoring activities that they be conducted in a positive and pro-active manner, identifying and resolving problems early during an activity, providing technical assistance when feasible and necessary, maintaining communication and feedback on performance, any required reporting, and ongoing compliance with terms of the assistance and contract. Education, particularly in the case of subrecipient types of activities, is an important element of the monitoring process. Prior to execution of a subrecipient or other agreement, requirements are further reviewed with and explained to the parties, including the compliance and performance measures, and additional materials or information is provided as appropriate.

Ongoing evaluation is the primary mechanism for tracking performance and compliance. In the case

of individual activities and subrecipient agreements, disbursement of funds is tied to maintenance of compliance through each disbursement, including proper documentation of costs through that disbursement. Ongoing compliance is measured against the specifics of the particular agreement. Satisfactory resolution of any compliance or performance difficulties at the time of a planned disbursement is required prior to disbursement. In addition to desk monitoring of all activities on an ongoing basis, long-term subrecipient activities are subject to annual field monitoring. On-site monitoring is emphasized in cases of new sub-recipient participants and any found during ongoing monitoring to be experiencing performance or compliance difficulties.

On an activity-by-activity basis, including subrecipient agreements, disbursements are monitored in comparison to completion schedules to determine the following:

- C Cumulative disbursements as compared to funds budgeted, to assure that disbursements do not exceed the budgeted and authorized levels, and to reconcile any scheduling and budgeting needs with the participant.
- C Performance schedules in comparison with planned expenditure rates, particularly to identify activities for which funds are not being used as scheduled, in order to resolve any scheduling and expenditure rates that may not be meeting standards or which indicate a future problem toward meeting performance standards.
- C A determination as to whether a revised implementation schedule is appropriate, that the subrecipient or other participant maintains

continuing capacity to perform. When applicable, the recipient is required to submit a revised schedule or detailed explanation of reasons for performance or other contracted revisions, for OCD review.

For in-house activities, as well as overall monitoring and oversight of all HUD assisted programs, OCD uses a series of internal reports and measurements for the purpose of tracking overall progress. These reports include, but are not necessarily limited to:

- < *Financial Status Report - CDBG* (monthly)  
(measuring expenditure and encumbrance rates for each activity, as well as for overall program)
- < *Rehab Contractors Status Report* (weekly)  
(status of each rehab contract awarded to each contractor)
- < *HMIP Progress Report* (weekly)  
(status of each rehab project undertaken by in-house rehab crews)
- < *Rehab Program Monthly Status Sheet*  
(tracks expenditures on individual CDBG funded rehab projects)
- < *HOME Program Monthly Status Sheet*  
(tracks expenditures on all HOME funded activities)
- < *HOME Program Income Sources and Uses*  
(tracks dates and amounts of HOME income received and expended)
- < *Environmental Review Status*  
(indicates findings, required publications or waiting periods)
- < *Demolition Program Status*  
(indicates ER clearance, contractor, completion dates)
- < *Homebuyer Loan Project Status*

(status of each loan application processed)

These reports are in addition to annual performance and other periodic reports submitted to HUD. Also, projects implemented by other agencies are tracked by those agencies, with periodic reports issued to OCD as to project status. The primary other City-Parish agency implementing HUD-assisted projects is the City-Parish Department of Public Works for public facilities and capital improvement activities. Monthly status reports are prepared by DPW and copied to OCD for monitoring purposes.

Other project specific and overall monitoring is addressed during monthly OCD supervisory staff meetings. Activity reporting is also included as a regular part of monthly meetings of the Citizens Advisory Council, and is summarized in the CAC newsletter. Citizen feedback concerning ongoing program activity is encouraged as an additional means of evaluating activities and identifying problem areas.

## **B. Progress Measures**

In the case of subrecipient and other forms of agreements or contracts for individual activities, the measurement of progress will vary depending upon the type of activity and the specific schedule, reporting and other benchmarks established for the particular activity. For overall CPS activity involving HUD programs, planned activities are to be put on an initial schedule by HUD program type (e.g., CDBG, HOME, ESG, HOPWA) with established major benchmarks and expenditure targets. The length of program scheduling will vary depending upon overall goals and basic programmatic thresholds that are specific to each program.

Overall program scheduling will incorporate the specifics of each activity, but is primarily focused on total activity. In addition to scheduling of planned activities under this CPS, overall scheduling will include "carry-over" activities from prior and incomplete grant activities. Overall progress on schedules will be reviewed at least on a quarterly basis, to determine progress, where problems may have occurred requiring revised activity scheduling, and where rescheduling may be appropriate to accelerating project completion.

The rate of overall expenditure, contractual encumbrance of funds and commitment of funds will be measured against both benchmark goals and required program thresholds in addition to whether activities are meeting major benchmarks toward completion. For example, a CDBG threshold calling for an expenditure of no less than 50% of the latest grant amount over a twelve month period will be established on the overall schedule as a measurement threshold, with monthly expenditure rates to achieve that threshold goal established. A second expenditure goal schedule, exceeding minimum required thresholds will also be established. Similar scheduling of other program thresholds will be included, e.g., HOME commitment and expenditure thresholds. On an overall program basis, measurements of progress will be in terms of both required and goal thresholds. In addition to actual expenditure rates, the scheduling measurements will include encumbered funds (i.e., contractually committed but not spent) and committed funds pending other actions (e.g., approval of a housing rehabilitation project, subject to construction contracting for the project).

The other primary measurement index is a comparison of planned production against actual accomplishment, e.g., planned homebuyer loans vs actual over a given time period. Where an activity's

production is incremental over time, scheduling will reflect the end product goal performance, with incremental benchmarks identified. The benchmarks will be measured to determine progress toward goal completion.

Where applicable toward addressing performance measurements, reporting documents to HUD, e.g., annual Grantee Performance Report for the CDBG Program, and HUD performance review instruments will be integrated with the monitoring measures.

### **C. Target Dates**

The City of Baton Rouge and Parish of East Baton Rouge's CPS covers a five-year period from October 1, 2000 through September 30, 2005. All goals for HUD assisted activities in the CPS are planned to be completed or substantially underway by the end of the plan period, with the exception of Year 5 Action Plan activities that may carry forward for another 12 months. However, with current uncertainties at the Federal level concerning long-term funding levels of programs covered under this CPS, full goal accomplishment is dependent upon adequate federal funding over the term of the CPS.

Prior to development of each annual Action Plan under this CPS, long-term goals will be reassessed to determine both current and past progress and the reasonable feasibility of accomplishment toward outstanding goals. For a planning document such as the CPS to remain viable over the long term, it must be adaptable to changing circumstances, both in terms of resources, new opportunities and local community needs and priorities.

Annual long-term goal reassessment will be undertaken in coordination with measurements of activity or program progress and the scheduled benchmarks established for those, and will be initiated approximately 5 months prior to the scheduled submission of each annual action plan.

#### **D. Program Specific Monitoring**

##### **1. Community Development Block Grant and HOME Funds**

###### **a. Rehabilitation Grants and Loans**

Monitoring of rehabilitation grants and loans is conducted throughout the application, approval and rehabilitation process. Records necessary to monitor the progress of grant applications are maintained in the applicant's file and on a computer database. The Housing Assistance Referral File, maintained on the computer, follows the progress of grant applicants from initial contact through bid selection. A copy of the Housing Assistance Referral form is forwarded to the computer operator by the Community Services Officer (CSO) for recording of the appropriate information on the Housing Assistance Referral File. After the application information is verified, the Housing Program Manager forwards a copy of the Rehabilitation Information and Checklist and the applicant's rating guide score to the computer operator to update the Housing Assistance Referral File. The Housing Assistance Referral File is then presented to the Selection Committee. After units are selected and inspected, the Housing Assistance Referral File is updated and the Rehabilitation Grant File is set up. The Selection Committee then selects the units for bid. The Rehabilitation Grant File is updated with copies of all paperwork throughout the contract process. The Housing Assistance Referral

File and the Rehabilitation Grant File are both maintained on the computer system so that aggregate applicant and rehabilitation information can be generated for reports to assist in the review and monitoring of program progress and compliance to requirements.

###### **b. Acquisition Loans**

A loan agreement between the City-Parish and the borrower that requires a "due on sale" clause for the full principal balance of the CDBG or HOME funds in the event of any transfer of the property or other default of compliance with program terms or conditions is executed for every loan. To ensure that the property will not be sold except with the knowledge and approval of the City-Parish, the City-Parish Treasurer maintains the original document throughout the period of affordability designated in the contract.

OCD contracts with the U.S. Escrow Agency in Downey, California to collect monthly mortgage payments and monitor community development loans. The agency collects the principal, interest, taxes, and insurance; and makes disbursements as required. The agency submits a monthly status report to OCD.

##### **2. Emergency Shelter Grants and Housing Opportunities for Persons With AIDS**

The new HOPWA grant program will be monitored in the same manner as the similar existing ESG grants. The OCD staff reviews Requests for Proposals from shelter providers to determine the eligibility of the organization, the activities, and the funding levels requested for essential services and homeless prevention activities. After the eligibility determination, the applications are forwarded to the

City-Parish Contracts Review Committee. The Contracts Review Committee is comprised of representatives of City-Parish offices and departments including the Parish Attorney, Council Budget Office, Personnel Department, Risk Management, and Human Development and Services. The Committee reviews the applicant eligibility, compliance with City-Parish ordinances and procedures, insurance provisions, and duplication of existing services. The Metropolitan Council receives the recommendations for funding from the Committee for approval and execution.

OCD staff is responsible for the monitoring of subgrantee contracts. The monitoring is principally conducted by a series of desk reviews during the term of the project. Most drawdown requests are for reimbursement and must include the paid invoices. If a subgrantee receives funds for a cost that has not been paid, the subgrantee must provide copies of canceled checks from the vendor with the subsequent drawdown request. Staff reviews drawdown request documentation to determine eligibility, including verification that the costs were incurred during the contract period and after the environmental clearance, and monitors the rate of expenditure to encourage completion of the project within the two-year timeframe.

For rehabilitation projects, OCD staff provides technical assistance for bidding and contract documents, the work write-ups, and cost estimates and the eligibility review of the bidders. All contracts require workmen's compensation, general liability and automobile insurance. The Department of Human Development and Services assigns one of its housing inspectors to projects that include rehabilitation activities. The inspector reviews the quality of materials and monitors the work to ensure that it performed in accordance with HUD's Housing Quality Standards and the City-Parish Building Code.

The inspector signs certifying the percentage of project completion for each drawdown request.

OCD requires an annual status report from each subgrantee. The subgrantees must request and disburse all ESG and HOPWA grant funds prior to close-out unless the 2-year contract has expired. After close-out, the contracts remain subject to audits conducted by the City-Parish independent auditor. The audits are conducted annually, providing an external level of ongoing financial and compliance monitoring of ESG and HOPWA grantees.

### **3. Section 8 Moderate Rehabilitation Program**

OCD monitors the Section 8 Moderate Rehabilitation Program for compliance with the leasing schedule, timely recertification and reinspection of units, maximum occupancy by very low income households, and current payments of utility allowances. Communication with tenants and annual inspections determine the conditions of units and reveal maintenance problems, if any. OCD advises tenants to contact the Department of Human Development and Services (DHDS) for assistance in resolving tenant-landlord disputes. DHDS Division ensures compliance with contract requirements by all owners with more than twenty units, using a combination of spot checks, annual inspections, and reviews of tenant complaints for monitoring purposes.

#### **E. Minority Business Outreach**

The City of Baton Rouge and Parish of East Baton Rouge has an active minority business outreach program. A Minority Business Enterprise Officer encourages and solicits the participation of minority owned businesses in City-Parish contracts, including

those funded by the CDBG, HOME, ESG, and HOPWA programs. The Office of Community Development participates with the Economic Freedom Association, an organization of minority business owners.

All of the contracts awarded by the City-Parish for housing rehabilitation projects are to small contractors. All contracts, large infrastructure and small rehabilitation, are awarded to the lowest responsible bidder. Bidders' instructions in the bid packets include information that the City-Parish is an Equal Opportunity Employer and encourages the vendor to utilize minority participation in the contract to the extent possible through the use of small, disadvantaged, and women-owned businesses as suppliers or subcontractors.

The City-Parish makes available to contractors copies of the Greater Baton Rouge Minority Business Directory. The directory includes 643 businesses both alphabetically and by Standard Industrial Classification (SIC) codes. A Business Information Sources section lists organizations and agencies that can provide some form of assistance to minority businesses.

The City-Parish publishes announcements and public notices in the Baton Rouge Post, a weekly Black-owned newspaper with a circulation of 5,000 subscribers. The City-Parish also obtains Public Service Announcements to solicit small, disadvantaged and women-owned businesses as bidders for contracts. The City-Parish will continue to emphasize communication and targeted announcements using newspapers and radio stations that have demonstrated a large audience of minority persons in order to increase the number of qualified minority bidders for contracts.

#### **F. Comprehensive Planning**

The Office of Community Development will be responsible for compliance with the comprehensive planning requirements of the Consolidated Plan and Strategy: 2000-2004 for the City of Baton Rouge and Parish of East Baton Rouge. Assigned staff will monitor individual activities carried out in furtherance of the plan to ensure long-term compliance with requirements of the funding programs.

## **IX. SF 424 APPLICATION FORMS**

This section includes the following SF Forms: CDBG, HOME, ESG, HOPWA

# APPLICATION FOR FEDERAL ASSISTANCE

<b>2. DATE SUBMITTED:</b> 08/15/01	Applicant Identifier
<b>3. DATE RECEIVED BY STATE</b> / /	State Application Identifier
<b>4. DATE RECEIVED BY FEDERAL AGENCY</b> 08/15/01	Federal Identifier

<b>1. TYPE OF SUBMISSION:</b>	
<i>Application</i>	<i>Preapplication</i>
<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction

<b>5. APPLICANT INFORMATION</b>	
Legal Name: City of Baton Rouge-Parish of East Baton Rouge	Organizational Unit: Office of Community Development
Address (give city, county, state, and zip code): P. O. Box 1471 Baton Rouge, LA 70821-1471	Name and telephone number of person to be contacted on matter involving this application (give area code) Al Gensler (225) 389-3039

<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b>
7 2 - 6 0 0 0 1 3 7

<b>7. TYPE OF APPLICANT:</b> (enter appropriate letter in box)	<input checked="" type="checkbox"/> N
A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District	H. Interdependent School District I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) <u>B and C</u>

<b>8. TYPE OF APPLICATION:</b>
<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
If Revision, enter appropriate letter(s) in box(es):
<input type="checkbox"/> <input type="checkbox"/>
A. Increase Award D. Decrease Duration
B. Decrease Award Other (specify):
C. Increase Duration

<b>9. NAME OF FEDERAL AGENCY:</b>
U.S. Dept. of Housing & Urban

<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER</b>	14.218
TITLE: Community Development Block Grant	

<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b>
CDBG Program to give maximum feasible priority to the needs of lower-income residents, eliminating slums and blight and meeting urgent Community Development needs through a program of housing assistance and Capital Improvements.

<b>12. AREA AFFECTED BY PROJECT</b> (cities, counties, states, etc.):
Parishwide-East Baton Rouge

<b>13. PROPOSED PROJECT:</b>		<b>14. CONGRESSIONAL DISTRICTS OF:</b>	
Start Date	Ending Date	a. Applicant	b. Project
10/01/01	09/30/02	6	6

<b>15. ESTIMATED FUNDING:</b>	
a. Federal	\$ 5,975,000 .00
b. Applicant	\$ 0 .00
c. State	\$ 0 .00
d. Local	\$ 0 .00
e. Other	\$ 0 .00
f. Program Income	\$ 475,000 .00
g. TOTAL	\$ 6,450,000 .00

<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>
a. YES THIS PREAPPLICATION / APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE / /
b. NO <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>
<input type="checkbox"/> Yes If "Yes," attach an explanation <input checked="" type="checkbox"/> No

<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>		
a. Typed Name of Authorized Representative Bobby Simpson	b. Title Mayor-President	c. Telephone number (225) 389-5100
d. Signature of Authorized Representative		e. Date Signed / /

U. S. Department of Housing and Urban Development  
Consolidated Plan System  
SF424 Supporting Document

In reference to submission:

Applicant Identifier:

State Identifier:

Federal Identifier:

Contact person: Al Gensler  
(225) 389-3039

17. If applicant is delinquent on any Federal debt, attach an explanation:

N/A

# APPLICATION FOR FEDERAL ASSISTANCE

<b>2. DATE SUBMITTED:</b> 08/15/01	Applicant Identifier
<b>3. DATE RECEIVED BY STATE</b> / /	State Application Identifier
<b>4. DATE RECEIVED BY FEDERAL AGENCY</b> 08/15/01	Federal Identifier

<b>1. TYPE OF SUBMISSION:</b>	
<i>Application</i>	<i>Preapplication</i>
<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction

<b>5. APPLICANT INFORMATION</b>	
Legal Name: City of Baton Rouge-Parish of East Baton Rouge	Organizational Unit: Office of Community Development
Address (give city, county, state, and zip code): P. O. Box 1471 Baton Rouge, LA 70821-1471	Name and telephone number of person to be contacted on matter involving this application (give area code) Al Gensler (225) 389-3039

<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b>
7 2 - 6 0 0 0 1 3 7

<b>7. TYPE OF APPLICANT:</b> (enter appropriate letter in box)	<input checked="" type="checkbox"/> N
A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District	H. Interdependent School District I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) <u>B and C</u>

<b>8. TYPE OF APPLICATION:</b>
<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
If Revision, enter appropriate letter(s) in box(es):
<input type="checkbox"/> <input type="checkbox"/>
A. Increase Award D. Decrease Duration
B. Decrease Award Other (specify):
C. Increase Duration

<b>9. NAME OF FEDERAL AGENCY:</b>
U.S. Dept. of Housing & Urban

<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER</b>	14.231
TITLE: Emergency Shelter Grants Program	

<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b>
(1) Renovation of shelter buildings. (2) Payment of essential services. (3) Payment of operations/maintenance expenses. (4) Payment of homeless prevention services. (5) Payment of administrative expenses. 576.21(a) (1-4)

<b>12. AREA AFFECTED BY PROJECT</b> (cities, counties, states, etc.):
Parishwide-East Baton Rouge

<b>13. PROPOSED PROJECT:</b>		<b>14. CONGRESSIONAL DISTRICTS OF:</b>	
Start Date 10/01/01	Ending Date 09/30/02	a. Applicant 6	b. Project 6

<b>15. ESTIMATED FUNDING:</b>	
a. Federal	\$ 204,000.00
b. Applicant	\$ 0.00
c. State	\$ 0.00
d. Local	\$ 204,000.00
e. Other	\$ 0.00
f. Program Income	\$ 0.00
g. TOTAL	\$ 408,000.00

<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>
a. YES THIS PREAPPLICATION / APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE / /
b. NO <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>
<input type="checkbox"/> Yes If "Yes," attach an explanation <input checked="" type="checkbox"/> No

<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>		
a. Typed Name of Authorized Representative Bobby Simpson	b. Title Mayor-President	c. Telephone number (225) 389-5100
d. Signature of Authorized Representative		e. Date Signed / /

U. S. Department of Housing and Urban Development  
Consolidated Plan System  
SF424 Supporting Document

In reference to submission:

Applicant Identifier:

State Identifier:

Federal Identifier:

Contact person: Al Gensler  
(225) 389-3039

17. If applicant is delinquent on any Federal debt, attach an explanation:

N/A

# APPLICATION FOR FEDERAL ASSISTANCE

<b>2. DATE SUBMITTED:</b> 08/15/01	Applicant Identifier
<b>3. DATE RECEIVED BY STATE</b> / /	State Application Identifier
<b>4. DATE RECEIVED BY FEDERAL AGENCY</b> 08/15/01	Federal Identifier

<b>1. TYPE OF SUBMISSION:</b>	
<i>Application</i>	<i>Preapplication</i>
<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction

<b>5. APPLICANT INFORMATION</b>	
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Address (give city, county, state, and zip code): P. O. Box 1471 Baton Rouge, LA 70821-1471	Name and telephone number of person to be contacted on matter involving this application (give area code) Al Gensler (225) 389-3039

<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b>
7 2 - 6 0 0 0 1 3 7

<b>7. TYPE OF APPLICANT:</b> (enter appropriate letter in box)	<input checked="" type="checkbox"/> N
A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District	H. Interdependent School District I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) <u>B and C</u>

<b>8. TYPE OF APPLICATION:</b>		
<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
If Revision, enter appropriate letter(s) in box(es):		
<input type="checkbox"/> <input type="checkbox"/>		
A. Increase Award D. Decrease Duration	B. Decrease Award Other (specify):	C. Increase Duration

<b>9. NAME OF FEDERAL AGENCY:</b>
U.S. Dept. of Housing & Urban

<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER</b>	14.239
TITLE: HOME Investments Partnerships Program	

<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b>
Funding assistance to be provided to individuals and to housing related organizations for the development of affordable housing.

<b>12. AREA AFFECTED BY PROJECT</b> (cities, counties, states, etc.):
Parishwide-East Baton Rouge

<b>13. PROPOSED PROJECT:</b>	<b>14. CONGRESSIONAL DISTRICTS OF:</b>		
Start Date 10/01/01	Ending Date 09/30/02	a. Applicant 6	b. Project 6

<b>15. ESTIMATED FUNDING:</b>	
a. Federal	\$ 2,425,000 .00
b. Applicant	\$ 0 .00
c. State	\$ 0 .00
d. Local	\$ 274,375 .00
e. Other	\$ 0 .00
f. Program Income	\$ 150,000 .00
g. TOTAL	\$ 2,849,375 .00

<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>
a. YES THIS PREAPPLICATION / APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE / /
b. NO <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>
<input type="checkbox"/> Yes If "Yes," attach an explanation <input checked="" type="checkbox"/> No

<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>		
a. Typed Name of Authorized Representative Bobby Simpson	b. Title Mayor-President	c. Telephone number (225) 389-5100
d. Signature of Authorized Representative		e. Date Signed / /

U. S. Department of Housing and Urban Development  
Consolidated Plan System  
SF424 Supporting Document

In reference to submission:

Applicant Identifier:

State Identifier:

Federal Identifier:

Contact person: Al Gensler  
(225) 389-3039

17. If applicant is delinquent on any Federal debt, attach an explanation:

N/A

# APPLICATION FOR FEDERAL ASSISTANCE

<b>2. DATE SUBMITTED:</b> 08/15/01	Applicant Identifier
<b>3. DATE RECEIVED BY STATE</b> / /	State Application Identifier
<b>4. DATE RECEIVED BY FEDERAL AGENCY</b> 08/15/01	Federal Identifier

<b>1. TYPE OF SUBMISSION:</b>	
<i>Application</i>	<i>Preapplication</i>
<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Non-Construction

<b>5. APPLICANT INFORMATION</b>	
Legal Name: City of Baton Rouge-Parish of East Baton Rouge	Organizational Unit: Office of Community Development
Address (give city, county, state, and zip code): P. O. Box 1471 Baton Rouge, LA 70821-1471	Name and telephone number of person to be contacted on matter involving this application (give area code) Al Gensler (225) 389-3039

<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b>
7 2 - 6 0 0 0 1 3 7

<b>7. TYPE OF APPLICANT:</b> (enter appropriate letter in box)	<input checked="" type="checkbox"/> N
A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District	H. Interdependent School District I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) <u>B and C</u>

<b>8. TYPE OF APPLICATION:</b>
<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
If Revision, enter appropriate letter(s) in box(es):
<input type="checkbox"/> <input type="checkbox"/>
A. Increase Award D. Decrease Duration
B. Decrease Award Other (specify):
C. Increase Duration

<b>9. NAME OF FEDERAL AGENCY:</b>
U.S. Dept. of Housing & Urban

<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER</b>	14.241
TITLE: Housing Opportunities for Persons with AIDS	

<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b>
1) Housing Resource Services 2) Acquisition 3) Rehabilitation 4) Construction 5) Project or Tenant-based rental assistance 6) Short term rent/mortgage/utility payments 7) Operating costs 8) Administration and 9) Technical Assistance.

<b>12. AREA AFFECTED BY PROJECT (cities, counties, states, etc.):</b>
Parishwide-East Baton Rouge

<b>13. PROPOSED PROJECT:</b>	<b>14. CONGRESSIONAL DISTRICTS OF:</b>	
Start Date 10/01/01	Ending Date 09/30/02	a. Applicant 6
		b. Project 6

<b>15. ESTIMATED FUNDING:</b>	
a. Federal	\$ 739,000.00
b. Applicant	\$ 0.00
c. State	\$ 0.00
d. Local	\$ 0.00
e. Other	\$ 0.00
f. Program Income	\$ 0.00
g. TOTAL	\$ 739,000.00

<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>
a. YES THIS PREAPPLICATION / APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE / /
b. NO <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>
<input type="checkbox"/> Yes If "Yes," attach an explanation <input checked="" type="checkbox"/> No

<b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>		
a. Typed Name of Authorized Representative Bobby Simpson	b. Title Mayor-President	c. Telephone number (225) 389-5100
d. Signature of Authorized Representative		e. Date Signed / /

U. S. Department of Housing and Urban Development  
Consolidated Plan System  
SF424 Supporting Document

In reference to submission:

Applicant Identifier:

State Identifier:

Federal Identifier:

Contact person: Al Gensler  
(225) 389-3039

17. If applicant is delinquent on any Federal debt, attach an explanation:

N/A

## **X. CERTIFICATIONS (§91.225)**

This section includes the following certifications:

### General

- Affirmatively Furthering Fair Housing
- Anti-displacement and Relocation Plan
- Drug-free Workplace
- Anti-lobbying
- Authority of Jurisdiction
- Consistency with Plan
- Acquisition and Relocation
- Section 3

### Specific Community Development Block Grant (CDBG) Certifications

- Citizen Participation
- Community Development Plan
- Following a Plan
- Use of Funds
- Excessive Force
- Compliance with Anti-discrimination laws
- Compliance with Lead-based Paint Procedures
- Compliance with Laws

### Specific Emergency Shelter Grant Certifications

### Specific HOME Program Certifications

### Specific Housing Opportunities for Persons with AIDS (HOPWA) Certifications

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** - The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** - It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** - It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph I that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a

criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

- (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** - To the best of the jurisdiction's knowledge and belief-

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative

agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** - The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** - It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
**Signature/Authorized Official**

\_\_\_\_\_  
**Date**

**Mayor-President**  
\_\_\_\_\_  
**Title**

## **Specific CDBG Certifications**

The Entitlement Community certifies that:

**Citizen Participation** - It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** - Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** - It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** - It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available); .
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2000, .. (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion

of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** - It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** - The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** - Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR §570.608;

**Compliance with Laws** - It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

**Mayor - President**  
\_\_\_\_\_  
Title

## **Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** - If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** - it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** - before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

**Mayor - President**  
Title

## **ESG Certifications**

The Emergency Shelter Grantee certifies that:

**Major rehabilitation/conversion** - It will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the jurisdiction plans to use funds for purposes less than tenant-based rental assistance, the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

**Essential Services** - It will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

**Renovation** - Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** - It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal State, local, and private assistance.

**Matching Funds** - It will obtain matching amounts required under §576.71 of this title.

**Confidentiality** - It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** - To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, operating facilities, and providing services assisted through this program.

**Consolidated Plan** - It is following a current HUD-approved Consolidated Plan or CHAS.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

**Mayor - President**

Title

## **HOPWA Certifications**

The HOPWA grantee certifies that:

**Activities** - Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** - Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

**Mayor - President**  
Title

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification. 1
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application.. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

**The Office of Community Development at the following two locations: 300 Louisiana Avenue, Baton Rouge, LA 70802, and the Home Maintenance Improvement Program Office 2931 Valley Street, Baton Rouge, LA 70808. All places of performance are located in East Baton Rouge Parish.**

Check \_\_\_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

## **PUBLIC COMMENTS**

The OCD held public hearings to expand input into the planning process. The public hearings were held in conjunction with the citizens Advisory Council Meetings on the following dates:

April 5, 2001

July 12, 2001

August 2, 2001

Advertisements for public participation were posted on 3/21/2001 in the "Advocate" and again on 6/29/2001 and 7/4/2001 with posting of the "Consolidated Submission Summary Statement". Request for public comment were also made through the minority newspapers, "The Baton Rouge Post" on 7/5/2001 and "The Weekly Press" on 7/12/2001 with postings of the "Consolidated Submission Summary Statement". No written comments on the plan have been received to date.

JUL 25 2001

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RESOLUTION

41131

Brian Mayer

COUNCIL ADMINISTRATOR TREASURER

By Brian Mayer  
introduced 6-27-01  
7-25-01

AUTHORIZING THE MAYOR-PRESIDENT TO SUBMIT THE YEAR-TWO CONSOLIDATED PLAN-ACTION PLAN TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT, HOME, HOPWA AND EMERGENCY SHELTER GRANTS PROGRAMS (TOTALING \$9,343,000.00 PLUS \$625,000.00 ESTIMATED PROGRAM INCOME); AND AUTHORIZING THE MAYOR-PRESIDENT TO EXECUTE GRANT AGREEMENTS AT THE APPROPRIATE TIME.

WHEREAS, submission requirements of the U.S. Department of Housing and Urban Development for the Community Development, HOME, HOPWA and Emergency Shelters Program require a Consolidated Plan with annual Action Plans; and

WHEREAS, HUD has previously approved the City-Parish 5-Year Consolidated Plan and Year-One Action Plan, and;

WHEREAS, the City-Parish wishes to submit its Year-Two Action Plan in connection with the HUD-approved Consolidated Plan:

NOW, THEREFORE, BE IT RESOLVED by the Metropolitan Council of the Parish of East Baton Rouge and City of Baton Rouge that:

Section 1. The Mayor-President is hereby authorized to submit the Year-Two Consolidated Plan Action Plan to the U.S. Department of Housing and Urban Development.

Section 2. The Mayor-President is hereby further authorized to execute the Action Plan grant agreements with HUD at the appropriate time.

Section 3. The Action Plan submission and grant agreements shall be approved by the Office of the Parish Attorney.

Section 4. The Mayor-President is hereby further authorized to execute subrecipient agreements pursuant to activities identified in the Action Plan contingent upon prior review and approval of said agreements by the Contracts Review Committee and the Office of the Parish Attorney.

**Consolidated Plan and Submission  
Summary Statement**

The Consolidated Plan and Submission (CPS) is the planning and application apparatus for four Community Planning and Development formula grant programs through the U.S. Department of Housing and Urban Development. The programs are the Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Shelter Grants Program (ESGP) and the Housing Opportunities for Persons With AIDS (HOPWA). The City-Parish CPS covers all four of the listed programs the primary objectives of which are "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, principally for persons of low and moderate income," encouraging states and local jurisdictions in collaboration with private organizations, to undertake activities and adopt policies aimed at expanding the supply of affordable housing and increasing the number of families that can be served with affordable housing" and to "help improve the quality of existing emergency shelters for the homeless, to help make available additional emergency shelters, to help meet the costs of operating emergency shelters and of providing certain essential social services to homeless individuals..." The City-Parish has received notification from the U.S. Department of Housing and Urban Development (HUD) that its allocation for these programs in FY-2001 will be CDBG - \$5,975,000; HOME \$2,425,000; ESGP \$204,000 ; HOPWA \$739,000 for a total of \$9,343,000. Additionally the City-Parish expects to realize program income of \$625,000.00. All (100%) of the program funds are expected to be used for activities that benefit a majority of low and moderate income persons. Proposed activities under the FY-01 Action Plan are listed according to program activity classification. Numerical references beside each category title are federal regulatory citations under Title 24 of the Code of Federal Regulations.

	<b>CDBG</b>	<b>HOME</b>	<b>HOPWA</b>	<b>ESGP</b>
<b>Public Facilities &amp; Improvements (570.201(c))</b>	<b>\$900,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Clearance (570.201(d))</b>	<b>\$48,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Program Income</b>	<b>\$2,000.00</b>			
<b>Public Services (570.201(e))</b>	<b>\$485,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Housing Rehab (570.202(a), (92.205))</b>	<b>\$2,973,000.00</b>	<b>\$226,300.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Property Improvement Program (570.202(a)(4))</b>	<b>\$200,000.00</b>			
<b>Temporary Relocation</b>	<b>\$25,000.00</b>			
<b>Loan Program (570.202), (92.205)</b>	<b>\$200,000.00</b>	<b>\$1,469,950.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Program Income</b>	<b>\$465,000.00</b>	<b>\$135,000.00</b>		
<b>CHDO (Set Aside)</b>		<b>\$363,750.00</b>		
<b>CHDO (Operating Assistance)</b>		<b>\$122,500.00</b>		
<b>Program Admin/Planning (570.205,570.206) (92.207), (574.300)</b>	<b>\$994,000.00</b>	<b>\$242,500.00</b>	<b>\$22,170.00</b>	<b>\$10,200.00</b>
<b>Program Income</b>	<b>\$8,000.00</b>	<b>\$15,000.00</b>		
<b>HOPWA - Project Sponsor Admin (574.300)</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$50,178.00</b>	<b>\$0.00</b>
<b>HOPWA - Project Sponsor Activities (574.300)</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$666,652.00</b>	<b>\$0.00</b>
<b>ESGP Homeless Prevention Activities (576.21)</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$19,500.00</b>
<b>ESGP Operations (576.21)</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$130,564.00</b>
<b>ESGP Essential Services (576.21)</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$37,500.00</b>
<b>ESGP Shelter Renovations (576.21)</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$6,236.00</b>
<b>Contingencies</b>	<b>\$150,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**Public Facilities and Improvements** activities scheduled to be undertaken under this action plan: Neighborhood Based Capital Improvements - Part of a multi-year program to improve infrastructure and other public facilities in lower income neighborhoods. Planned improvements are undertaken on a priority basis with first priority being given to activities previously undertaken in prior CDBG program years — projects for which prior engineering design has been undertaken and/or projects which are being undertaken in construction phases, in order to assure sufficient funding to complete the projects. Projects funded under this activity may also consist of projects in support of the East Baton Rouge Housing Authority's HOPE VI application and non-profit organizations efforts to develop affordable housing.

Competitive proposals will be solicited from lower income neighborhoods for additional design and construction projects. While the primary emphases of this activity may be sidewalk construction other necessary infrastructure and other public facilities improvements will be considered.

**Public Services:** (a) Volunteer Program - Support costs to Volunteer Baton Rouge to provide teenage volunteer services to nonprofit organizations serving low-income persons (\$33,000); (b) Homeownership Service - education and training of potential home buyers and counseling of current owners (\$63,000); (c) SVDP Pharmacy - Support to St. Vincent de Paul for use in purchasing medicines for their pharmacy that aids poor, elderly and homeless (\$50,000); (d) Public Service Grants Program - Assistance to non-profit organizations for services to be provided to lower income persons (\$300,000); Financial assistance under the Public Services Grants Program will be pursuant to a competitive solicitation of proposals from non-profit organizations and may include funding of activities that have had an unexpected decrease in funding for reasons other than performance; (e) A Nonprofit Training Program (\$12,000) run by Volunteer Baton Rouge will provide management training to nonprofit organization that benefit low-mod individuals. The training is designed to improve and expand upon the capacity to provide services.

**Clearance:** Demolition and clearance of vacant, un-repairable, condemned properties (\$50,000). The activity is restricted to a defined predominantly lower income target area within the Parish. A map of the target area is available for public inspection at the Office of Community Development. Additionally part of the funding of this activity is to be in support of the above mentioned HOPE VI application.

All other projects detailed in the Action Plan will either be undertaken on an individual application basis (Housing/Property Repair programs) or otherwise awarded through solicited Request for Proposals (ESGP and HOPWA) projects. Housing Programs include housing rehabilitation grants for repair of standard low income owner-occupied housing; loan financing for rehabilitation of lower income homeowner property and of rental property; and loan financing to assist lower income persons with home purchase. CHDO operating costs assistance to qualified non-profits in the development of affordable housing is restricted to qualified CHDOs undertaking CHDO-eligible activities. The Emergency Shelter Grants Program provides financial assistance to qualified non-profits providing shelter for the homeless, and for which proposals are received and considered on an annual basis.

This publication is a Summary document and is intended to provide the public with the necessary information for them to assess the potential impact of the proposed actions. A more detailed listing of projects is contained in the complete Year 2001 Action Plan and will be available for public review at the Office of Community Development, 300 Louisiana Avenue second floor between the hours of 8:00 AM - 5:00 PM M-F on July 2, 2001 and at all branches of the East Baton Rouge Public Library during normal hours of operation beginning July 3, 2001. The Office of Community Development is also publishing the entire Year 2001 Action Plan on its Internet site. The Web version will be available beginning July 2, 2001. The information will be available under the Plans and Reports page from OCD's Home Page. The OCD web site address is : <http://www.ci.baton-rouge.la.us/dept/ocd>. Written comments may be submitted at any time but in order to be considered in the Action Plan must be received prior to the plans submission to HUD. In no case will this submission occur prior to 30 days after the date of this publication nor after August 16, 2001

Written comments on the Action Plan may be sent to :

Office of Community Development  
P.O. Box 1471  
Baton Rouge, LA 70821

